

# Memorandum

# TAB 28

**To:** CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

**CTC Meeting:** March 28-29, 2012

**Reference No.:** 3.6  
Action Item

**From:** NORMA ORTEGA  
Chief Financial Officer

**Prepared by:** Rachel Falsetti  
Division Chief  
Transportation Programming

**Subject:** **STATUS UPDATE ON STATE ROUTE 99 (SR 99) PROJECTS**

## SUMMARY:

The California Department of Transportation (Department) is providing an update on the State Route 99 (SR 99) projects remaining to be delivered and not yet allocated by the California Transportation Commission (Commission). The Department has performed an assessment on each project, and identified critical issues and then assigned a risk level.

As of February 2012, there are eight SR 99 projects totaling approximately \$285 million of bond funds yet to be allocated by the Commission. Of the remaining eight projects yet to be allocated, six are considered to have a medium risk for delivery. A risk assessment summary for each project is also included.

## BACKGROUND:

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 passed by the voters in November 2006 included \$1.0 billion for the State Route 99 Corridor. The Commission adopted guidance stating the SR 99 projects shall be awarded not later than December 31, 2012. The attachment is a status update for the remaining \$285 million yet to be allocated.

#	Risk	Co	Rte	Project Title	CTC Meeting Allocation
1	Low	SUT	99	SR 99/Riego Road Interchange	Mar-12
2	Medium Low	SUT	99	State Route 99/113 Interchange	Jun-12
3	Medium High	FRE	99	Island Park 6-Lane	Apr-12
4	Medium Low	MAD	99	Ave 12 Interchange	Jun-12
5	Low	TUL	99	Goshen to Kingsburg Landscape	Jun-12
6	Medium Low	TUL	99	Tulare to Goshen 6-Lane	June-12
7	Medium Low	SJ	99	Manteca Phase 3	Jun-12
8	Medium Low	SJ	99	SR 99 (South Stockton) Widening	Jun-12

Definition of Risk

Low  - Projects within this category contain no known issues that will affect delivery.

Medium Low  - Projects within this category have identified delivery challenges that could still affect on-time delivery. Projects within this group are being actively managed to stay on approved schedules.

Medium High  - Projects within this category have numerous challenges. Projects are being aggressively managed to stay on the approved schedule.

High  - Without change, these projects cannot be delivered and funded consistent with the program requirements.

## SR99 Program Assessment

The Department has performed a project by project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects. The items have been listed here for Commission information and identified as either right of way, environmental permitting, or design related risk. “Risk” is a judgment call at a point in time based on known issues. These issues have the ability to be resolved quickly or to turn into lengthy negotiations, and project risks change over time.

### CALTRANS DISTRICT 3

#### SACRAMENTO COUNTY SUTTER COUNTY

#### Riego Road Interchange

Allocation March                      Delivery Agency Caltrans                      Con Bond      \$17,610                      Total Con \$22,000

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 9/1/11 RWC#2	completed	Apv End Design Date	4/1/12	ACOE	3/5/12 Received
				RWQCB	3/5/12 Received
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Target March allocation.					

#### SR 99/113 Interchange

Allocation June                      Delivery Agency Caltrans                      Con Bond      \$15,205                      Total Con \$15,205

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date 6/1/12 RWC#3W	6/11/12	Apv End Design Date 6/1/12	6/18/12	None	
1 residential fee,					
2 TCE's, 3 PTE's					
Anticipate 1 uncontested RON					
Overall Risk: <input type="checkbox"/> Medium Low Critical Items: Risk is related to the late delivery schedule.					

**CALTRANS DISTRICT 6**

**FRESNO COUNTY**

**Island Park 6-Lane**

Allocation April Delivery Agency Caltrans Con Bond \$54,000 Total Con \$54,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 5/1/12 RWC#3W	Approved		Apv End Design Date 3/1/12	3/1/12		F&G, ACOE, RWQCB	3/1/12 2/17/12	
2 residential, 5 commercial parcels	9/2012					Flood Protection	3/23/12	
4 uncontested RON's anticipated.	2/2012					State Lands	3/1/12	
UPRR agreement	1/17/12(A)							
Overall Risk: <span style="color: red;">●</span> Medium High Critical Items: Risk is due to permits negotiations.								

**MADERA COUNTY**

**Ave 12 Interchange**

Allocation June Delivery Agency Caltrans Con Bond \$43,600 Total Con \$50,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 7/1/12 RWC#3W	5/1/12		Apv End Design Date 5/1/12	5/1/12		F&G, ACOE	5/1/12	
7 residential, 10 commercial parcels	5/1/12		C&M agreement	5/1/12		RWQCB	5/1/12	
Anticipate 2 contested RONs (May CTC mtg)	5/1/12		Freeway Agreement resolution of change	5/1/12				
10 uncontested RONs (8 March CTC mtg, 2 April CTC mtg.)								
8 utility agreements.	5/1/12							
Overall Risk: <span style="color: blue;">◆</span> Medium Low Critical Items: Risk is associated with securing necessary right of way. March and April RONs.								

**TULARE COUNTY**

**Tulare to Goshen 6 Lane**

Allocation June Delivery Agency Caltrans Con Bond \$40,000 Total Con \$40,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 4/20/12 RWC#2	4/20/12		Apv End Design Date 5/3/12	5/3/12		F&G, RWQCB	5/ 2/12	
1 utility agreement	4/20/12		Design Exception	3/15/12		BO amendment	3/15/12	
RR C&M Agmt	4/20/12		Longitudinal utility exception approval.	3/30/12				
Overall Risk: <span style="color: blue;">◆</span> Medium Low Critical Items: The risk is associated with obtaining permits.								

**Goshen to Kingsburg Landscape**

Allocation June Delivery Agency Caltrans Con Bond \$5,000 Total Con \$5,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date 8/1/13 RWC#2	6/7/12		Apv End Design Date 9/16/13	6/7/12		None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: This project is scheduled for a June allocation, no known issues.								

**CALTRANS DISTRICT 10**

**SAN JOAQUIN COUNTY**

**Manteca Phase 3**

Allocation June Delivery Agency SJCOG Con Bond \$6,010 Total Con \$85,471

RW Risks		Target	Design Risks		Target	Permit Risks	Target	
Apv End RW Date	3/1/12	RWC#	3/30/12	Apv End Design Date	7/2/12	6/2/12	None	
3W								
2 residential, 1 mixed use parcels								
6 agricultural, 4 commercial parcels								
6 utility agreements								
Overall Risk: ♦ Medium Low Critical Items: The risk is in the efforts to accelerate delivery. Cert 3W is in process for approval.								

**South Stockton Widening**

Allocation June Delivery Agency Caltrans Con Bond \$101,100 Total Con \$154,000

RW Risks		Target	Design Risks		Target	Permit Risks	Target	
Apv End RW Date	3/1/12	RWC#	3/30/12	Apv End Design Date	2/1/12	3/30/12	ACOE	11/2/11 (A)
3W								
3 residential, 13 commercial parcels				C&M Agreement letter		Pending	F&G	12/28/11 (A)
2 public use, 1 railroad parcel								
All RONS		Completed		Plans approved, CPUC, Railroad clearance letter		Approved/Rec'd	RWQCB	2/15/12 (A)
		2/25/12						
				12 utility agreements		3/30/12	CVFP	5/25/12
Overall Risk: ♦ Medium Low Critical Items: Risk is related to the number of parcels and obtaining permits, flood protection board hearing scheduled for May. Received all utility plans finalizing agreements. Cert 3W is in process for approval.								

Dollars are in \$1,000's.