

Memorandum

TAB 28

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: September 26-27, 2012

Reference No.: 3.13
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Bruce de Terra
Acting Division Chief
Transportation Planning

Subject: **STATUS UPDATE ON TRADE CORRIDOR IMPROVEMENT FUND (TCIF) PROJECTS**

SUMMARY:

The California Department of Transportation (Department) is providing the status of the Trade Corridor Improvement Fund (TCIF) projects remaining to be delivered by the implementing agencies and to be allocated by the California Transportation Commission (Commission). The Department has compiled the attached summary of each un-allocated project based on information provided in the TCIF Quarterly Report ending June 30, 2012. The summary will be provided on a monthly basis. Beginning with the October 2012 report, the Department will provide a project-by-project review to assess the projects, identify critical items that remain to deliver each project, and assign a level of risk.

As of September 2012, there are 37 TCIF projects totaling approximately \$965 million of bond funds that have yet to be allocated by the Commission.

BACKGROUND:

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, passed by the voters in November 2006, included \$2.0 billion for the Proposition 1B TCIF. The Commission recognized the needs far exceeded the amount authorized in the Proposition 1B TCIF and increased the TCIF funding by approximately \$500 million from the State Highway Account. The Commission adopted guidelines that established programming targets, which included an additional overprogramming for each corridor and a requirement to award contracts no later than December 31, 2013.

The Department and Commission Staff are currently meeting with each Corridor Coalition to complete the project-by-project review which will assess the projects, identify critical items that remain to deliver each project, and assign a level of risk. This detailed information will be reported beginning with the October 2012 report.

The project details in the attachment show the TCIF remaining need of \$1.11 billion including the allowed overprogramming, although only \$965 million is available for allocation. The Corridor Coalitions are working to address the overprogramming and plan to be at available revenue levels by the end of 2012.

Attachment

Trade Corridors Improvement Fund Delivery Report
UNALLOCATED PROJECTS

	Delivered with LONP
✘	Project Behind Schedule
✔	Project on Schedule

TCIF NUMBER	TCIF REGION (NCTCC, SCCG, SDBR/BORDER, OTHER)	DISTRICT	COUNTY	NOMINATED BY	ROUTE	PROJECT DESCRIPTION	BEGIN CON	PERCENT COMPLETE	TOTAL PROJECT COST (x1,000)	TCIF COST (x 1,000)	SCHEDULE
Northern California Trade Corridor Coalition											
2	NCTCC	4	CC	Caltrans / BNSF		Richmond Rail Connector	09/01/12	Env. 97% Des. 85% RW 60%	\$21,760	\$10,880	✘
3.2	NCTCC	4	ALA	Port of Oakland		Outer Harbor Intermodal Terminals (OHIT) [Rail Access Improvements and Manifest Yard, Segment 2]	07/31/13	TBD	\$74,600	\$65,800	✔
3.3	NCTCC	4	ALA	Port of Oakland		Outer Harbor Intermodal Terminals (OHIT) [City Site Prep Work and Backbone Infrastructure, Segment 3]	12/31/13	TBD	\$247,241	\$176,341	✔
4	NCTCC	4	ALA	Metropolitan Transportation Commission	880	I-880 Reconstruction, 29th & 23rd Avenues, Oakland [SHOPP/TCIF]	04/30/13	Env. 100% Des. 90% RW 0%	\$96,787	\$73,000	✘
6	NCTCC	6	KER	Caltrans / BNSF		Tehachapi Trade Corridor Rail Improvement Project	03/01/12	Env. 95% Des. 80% RW 25%	\$112,700	\$54,000	✘
10	NCTCC	10	SJ	San Joaquin Council of Governments	4	State Route 4 West Crosstown Freeway Extension Stage 1	11/01/13	Env. 100% Des. 90% RW 60%	\$193,040	\$96,820	✔
89	NCTCC	4	SOL	Northern California Trade Corridors Coalition	80/ 680/ 12	Solano I-80/680/12 Connector	08/31/13	TBD	\$100,400	\$24,000	✔
									\$500,841		
Southern California Trade Corridor Coalition											
19	SCCG	7	LA	Port of Los Angeles	47/ 110	I-110 Fwy Access Ramp Improvement SR 47/I-110 NB Connector Widening	06/30/13	Env. 100% Des. 90% RW N/A	\$39,068	\$14,700	✔
20	SCCG	7	LA	Port of Los Angeles	110	C Street Access Ramps Improvements	05/31/13	Env. 100% Des. 85% RW N/A	\$34,176	\$8,300	✔
21	SCCG	7	LA	City of Commerce		Washington Boulevard Widening & Reconstruction Project	12/01/11	Env. 100% Des. 10% RW 10%	\$32,000	\$5,800	✘
32.2	SCCG	7	LA	Port of Los Angeles		Ports Rail System - Tier 1 (West Basin Road Rail Access Improvements) [Segment 2 - Berth 200 Rail Yard Track Connections]	12/01/12	Env. 100% Des. 90% RW 90%	\$25,700	\$10,512	✘
34	SCCG	12	ORA	Orange County Transportation Authority	91	State Route 91 Connect Aux. Lanes through Interchange on Westbound SR91 Between State Route 57 & I-5	12/01/12	Env. 100% Des. 70% RW 70%	\$70,700	\$34,950	✔
35	SCCG	12	ORA	Orange County Transportation Authority		State College Boulevard Grade Separation	04/13/13	Env. 100% Des. 95% RW 30%	\$74,644	\$34,107	✔
40	SCCG	12	ORA	Orange County Transportation Authority		Lakeview Avenue Overcrossing	07/01/13	Env. 100% Des. 90% RW 35% Const. 0%	\$99,763	\$28,685	✔
46	SCCG	8	RIV	City of Banning		Project No. 2006-05, Sunset Avenue Grade Separation	01/15/12	Env. 100% Des. 60% RW 30%	\$36,500	\$10,000	✘
48	SCCG	8	RIV	Riverside County		Avenue 56 Grade Separation on Yuma Subdivision of UPR Mainline	12/28/12	Env. 100% Des. 85% RW 60%	\$60,000	\$10,000	✘
50	SCCG	8	RIV	Riverside County		Grade Separation at Clay Street Railroad Grade Crossing	03/30/12	Env. 100% Des. 60% RW 30%	\$37,350	\$12,500	✘
51	SCCG	8	RIV	City of Riverside		Riverside Avenue Grade Separation	12/01/11	Env. 100% Des. 82% RW 34%	\$30,300	\$8,500	✘
53	SCCG	8	RIV	Riverside County		Grade Separation at Magnolia Avenue Railroad Grade Crossing - BNSF	09/30/13	Env. 100% Des. 60% RW 30%	\$81,750	\$13,700	✘
57	SCCG	8	SBD	San Bernardino Associated Governments	10	I-10 Corridor Logistics Access Project (Interchange Reconstruction at Citrus Avenue)	08/01/11	Env. 100% Des. 100% RW 100% Const. 5%	\$57,530	\$23,600	✔

Trade Corridors Improvement Fund Delivery Report UNALLOCATED PROJECTS

	Delivered with LONP
<input type="checkbox"/>	Project Behind Schedule
<input checked="" type="checkbox"/>	Project on Schedule

TCIF NUMBER	TCIF REGION (NCTCC, SCCG, SDBR/BORDER, OTHER)	DISTRICT	COUNTY	NOMINATED BY	ROUTE	PROJECT DESCRIPTION	BEGIN CON	PERCENT COMPLETE	TOTAL PROJECT COST (x1,000)	TCIF COST (x 1,000)	SCHEDULE
59	SCCG	8	SBD	San Bernardino Associated Governments		ACE Glen Helen Parkway Railroad Grade Separation	04/01/12	Env. 100% Des. 95% RW 70%	\$29,568	\$7,172	<input type="checkbox"/>
61	SCCG	8	SBD	San Bernardino Associated Governments		ACE South Milliken Avenue Grade Separation at UP Los Angeles	04/01/13	Env. 100% Des. 65% RW 0% Const. 0%	\$79,224	\$14,521	<input type="checkbox"/>
63	SCCG	8	SBD	San Bernardino Associated Governments		Palm Avenue Grade Separation	07/01/12	Env. 100% Des. 95% RW 10%	\$35,176	\$9,390	<input type="checkbox"/>
64	SCCG	8	SBD	San Bernardino Associated Governments		Lenwood Road Grade Separation	04/01/12	Env. 100% Des. 95% RW 35%	\$25,075	\$6,694	<input type="checkbox"/>
65	SCCG	8	SBD	San Bernardino Associated Governments		Vineyard Avenue Grade Separation	12/01/12	Env. 100% Des. 65% RW 0%	\$44,517	\$6,884	<input type="checkbox"/>
84	SCCG	8	SBD	San Bernardino Associated Governments		Laurel Street/BNSF Grade Separation	12/10/12	Env. 100% Des. 65% RW 0% Const. 0%	\$53,995	\$11,917	<input checked="" type="checkbox"/>
85	SCCG	8	RIV	Riverside County		Avenue 52 Grade Separation	07/01/13	Env. 90% Des. 0% Const. 0%	\$22,200	\$10,000	<input type="checkbox"/>
86	SCCG	7	LA	Port of Los Angeles		Alameda Corridor West Terminus Intermodal Railyard - West Basin Railyard Extension	10/30/13	TBD	\$43,716	\$20,712	<input checked="" type="checkbox"/>
87.1	SCCG	7	LA	Port of Los Angeles		Cargo Transportation Improvement Emission Reduction Program - Phase 1	01/31/13	TBD	\$26,695	\$12,705	<input checked="" type="checkbox"/>
87.2	SCCG	7	LA	Port of Los Angeles		Cargo Transportation Improvement Emission Reduction Program - Phase 2	11/30/13	TBD	\$86,014	\$23,164	<input checked="" type="checkbox"/>
90	SCCG	7	VEN	Ventura County Transportation Commission / Alameda Corridor Transportation Authority		Hueneme Road Widening	TBD	TBD	\$2,924	\$1,462	<input checked="" type="checkbox"/>
									\$339,975		
San Diego Border Region											
68.1	SDBR	11	SD	San Diego Association of Governments	11	SR 11/Otay Mesa East Port of Entry [Segment 1 - SR 11/SR 905 Freeway to Freeway Connectors]	07/31/13	Env. 100%	\$117,500	\$75,000	<input checked="" type="checkbox"/>
70	SDBR	11	SD	Port of San Diego	5	10th Avenue Grade Separated Improvements	11/07/13	Env. 100% Des. 35%	\$67,200	\$30,910	<input checked="" type="checkbox"/>
71	SDBR	11	SD	Port of San Diego	5	32nd Street at Harbor Drive Grade Separated Improvements	11/07/13	Env.60%	\$118,460	\$50,665	<input type="checkbox"/>
73	SDBR	11	SD	Port of San Diego		National City Marine Terminal Improvement (Wharf Extension)	05/30/11	Env. 25% Des. 3%	\$34,300	\$15,000	<input type="checkbox"/>
74	SDBR	11	SD	San Diego Association of Governments		Southline Rail Improvements - Yard Expansion	01/04/13	Env. 100% Des. 82% RW 47%	\$40,460	\$25,900	<input type="checkbox"/>
75.4	SDBR	11	SD	San Diego Association of Governments		Southline Rail Improvements - Mainline Improvements [Phase 4 - Final Palomar Siding and System Upgrades]	12/01/13	Env. 100% Des.45% RW N/A	\$75,630	\$66,660	<input checked="" type="checkbox"/>
									\$264,135		
Other Corridor											
14	OTHER	3	YOL	Port of Sacramento		Sacramento River Deep Water Channel Project	10/15/12	Env. 90% Des. 100% RW 0%	\$157,464	\$10,000	<input checked="" type="checkbox"/>
									\$10,000		
									Total TCIF	\$1,114,951	

Memorandum

TAB 64

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: September 26-27, 2012

Reference No.: 2.5b.(2)
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Steven Keck
Division Chief
Budgets

Subject: **FINANCIAL ALLOCATION FOR SHOPP PROJECTS**
RESOLUTION FP-12-15

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission allocate \$6,000,000 for the Doyle Drive Project programmed in the 2012 State Highway Operation and Protection Program (SHOPP).

ISSUE:

The attached vote list describes one SHOPP request totaling \$6,000,000. The Department is ready to proceed with this work and is requesting an allocation at this time.

BACKGROUND:

When the Doyle Drive project was initiated, the Department chose to go with the Owner Controlled Insurance Program (OCIP) at the cost of \$12 million. This was significantly less than the traditional individual contract insurance which would have cost \$17.2 million. In addition, the Presidio Trust and other project partners involved were also in favor of the consolidated insurance program.

When the project was split into Phase 1 and Phase 2 with Phase 2 being the Public Private Partnership (P3) contract, the Department made a decision to continue with the OCIP for the entire P3 contract. The Department chose to continue with OCIP because cancelling the OCIP would have: altered the Department's obligation to the Presidio Trust and other project partners; resulted in multiple insurance programs covering the same project site, potentially resulting in coverage issues and litigations; cost the Department \$1.2 million to terminate OCIP; and finally cost the P3 contractor between \$7 to \$12 million to carry this additional insurance.

The OCIP premium needed for the P3 contract is \$6,000,000 which is less than the \$8.2 million to \$13.2 million the Department would have incurred if the OCIP was cancelled.

FINANCIAL RESOLUTION:

Resolved, that \$6,000,000 be allocated from the Budget Act of 2012, Budget Act Item 2660-302-0042 for State Highway Operation and Protection Program work described on the attached vote list.

The Department has complied with the National Environmental Policy Act and the California Environmental Quality Act requirements in preparing these projects.

Attachment

2.5 Highway Financial Matters

Project # Allocation Amount County Dist-Co-Rte Postmile	Location Project Description	PPNO Program/Year Prgm'd Amount Project ID Adv Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
2.5b.(2) SHOPP Projects (Doyle Drive)			Resolution FP-12-15	
1 \$6,000,000 San Francisco 04-SF-101 8.0/9.8	In San Francisco, from Marina Boulevard to south of the Golden Gate Bridge. <u>Outcome/Output:</u> Improve the seismic, structural and traffic safety of Doyle Drive to maintain structural integrity.	04-0619P SHOPP/12-13 \$29,730,000 0400020166 4 1637U4	2012-13 302-0042 SHA 20.20.201.110	\$6,000,000

Memorandum

TAB 79

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: September 26-27, 2012

Reference No.: 2.5h.
Action Item

From: Norma Ortega
Chief Financial Officer

Prepared by: Steven Keck
Chief
Division of Budgets

Subject: **FINANCIAL ALLOCATION: FEDERAL FUNDS FOR THE LOCAL ASSISTANCE
LUMP SUM ALLOCATION FOR FEDERAL FISCAL YEAR 2012-13
RESOLUTION FM-12-02, AMENDING RESOLUTION FM-11-01**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) allocate \$1,491,251,000 of federal funds to the Department for the Division of Local Assistance's subvention budget for Fiscal Year (FY) 2012-13.

ISSUE:

The Department is requesting the allocation of the federal portion of subvention funds for \$1,491,251,000. This allocation request is consistent with the Budget Act of 2012, Budget Act Item 2660-102-0890(1).

The Moving Ahead for Progress in the 21st Century Act (MAP-21) was approved on July 6, 2012, and consolidated many of the local subvention sub-programs into four major federal programs. The Department is requesting \$1,314,000,000 in federal allocations for the following four major programs:

- About \$228 million for the National Highway Performance Program (NHPP), which funds locally-administered National Highway System bridges.
- About \$526 million for the Surface Transportation Program (STP), which funds the Regional Surface Transportation Program (RSTP) and includes a \$75 million set aside for bridges that are off the State Highway System.
- About \$445 million for the Congestion Mitigation and Air Quality Program (CMAQ).
- About \$115 million for the Highway Safety Improvement Program (HSIP), which funds Safety programs and Safe Routes to School.

In addition, the Department is requesting \$177,251,000 to fund discretionary programs, Demonstration projects, Emergency Relief, and miscellaneous federal program funding that is available under MAP-21 and previous Federal Highway Acts, for a total federal allocation request of \$1,491,251,000.

BACKGROUND:

The Department's Division of Local Assistance administers the state and federal local assistance subvention budget under authority of the Commission. Each year, the Department requests an annual lump sum allocation for these funds consistent with the Budget Act. At the June 2012 Commission meeting, the Commission allocated approximately \$128 million of state subvention funds, per Resolution FM-11-03.

The Department is requesting an allocation of approximately \$1.5 billion of federal subvention funds in preparation for the start of the federal fiscal year, which is October 1, 2012. The allocation of these federal subvention funds will allow the Department to suballocate projects once the federal funds are made available by the Federal Highway Administration.

The guidelines for allocating, monitoring, and auditing of funds for local assistance projects are set forth in Commission Resolution G-99-25, which is based on Section 14529.1 of the Government Code. The Commission Resolution G-01-08 delegates the authority to the Department to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation.

RESOLUTION:

Resolved, that \$1,491,251,000 in federal funds be allocated from the Budget Act of 2012, Budget Act Item 2660-102-0890(1), in accordance with the table (see next page), bringing the total lump sum allocation for the FY 2012-13 Local Assistance subvention budget to \$1,619,564,000.

ALLOCATION OF FUNDS FOR FY 2012-13 LOCAL ASSISTANCE
(Dollars in Thousands)

	State	Federal	Total
<u>2660-102-0042(1)</u>			
Surface Transportation Program State Match and Exchange	\$57,849		\$57,849
Bridge Inspection	735		735
Railroad Grade Separations	15,000		15,000
Railroad Grade Crossing Maintenance	2,000		2,000
Miscellaneous Unassigned Local Programs	3,000		3,000
Freeway Service Patrol	25,479		25,479
Safe Routes to School	24,250		24,250
Subtotal	\$128,313		\$128,313
<u>2660-102-0890(1)</u>			
National Highway Performance Program (NHPP) ¹		\$228,000	\$228,000
Surface Transportation Program (STP) ²		526,000	526,000
Congestion Mitigation and Air Quality Program (CMAQ)		445,000	445,000
Highway Safety Improvement Program (HSIP) ³		115,000	115,000
Discretionary Programs ⁴		177,251	177,251
Subtotal		\$1,491,251	\$1,491,251
Total Local Programs	\$128,313	\$1,491,251	\$1,619,564
June 27-28, 2012, State Allocation, FM-11-03			<u>- \$128,313</u>
Current Allocation Request			<u>\$1,491,251</u>

¹ Funding is reserved for State Highway System (SHS) bridges on the National Highway System (NHS). If a bridge is on the SHS, but not on the NHS, the local share of NHPP may be exchanged for the State share of STP.

² About \$75 million is set aside for bridges that are off the State Highway System and about \$58 million is budgeted to be exchanged on a dollar-for-dollar basis for State Highway Account funds (2660-102-0042(1)).

³ About \$21 million is made available for Safe Routes to School.

⁴ This funding is for discretionary programs, Demonstration projects, Emergency Relief, and miscellaneous federal programs for MAP-21 and previous Federal Highway Acts.