

Tab # / Time	Item Description	Ref. #	Presenter	Status*	
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Recommendation: Approval of Items 76-78

Action Taken: Approved

Motion: Alvarado

Second: Assemi

Vote result: 8-0

Absent: Dunn, Earp, Waring

78	Financial Allocation: \$1,827,000 for the State administered SLPP North B – Sonoma Highway 101 Airport Interchange (PPNO 0749D) project in Sonoma County, on the State Highway System. Contributions from other sources: \$1,573,000 Resolution SLP1B-A-1213-08 (Related Item under Tab 18.)	2.5g.(10c)	Laurel Janssen Denix Anbiah	A	D
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Recommendation: Approval of Items 76-78

Action Taken: Approved

Motion: Alvarado

Second: Assemi

Vote result: 8-0

Absent: Dunn, Earp, Waring

Lump Sum Allocation					
79	Financial Allocation Augmentation: \$1,491,251,000 in federal funds for the Local Assistance Lump Sum Allocation for Federal Fiscal Year 2012-13. Resolution FM-12-02, Amending Resolution FM-11-01	2.5h.	Mitchell Weiss Denix Anbiah	A	D

Recommendation: Approval as revised **Allocation of \$745,625,000 approved.**

Action Taken: Approved as revised

Motion: Alvarado

Second: Dunn

Vote result: 9-0

Absent: Guardino, Waring

Changes to this item were listed on the pink "Changes to CTC Agenda" handout as follows:

Financial Allocation Augmentation: \$1,491,251,000 in federal funds for the Local Assistance Lump Sum Allocation for Federal Fiscal Year 2012-13.

--Revise Agenda Language only. Yellow Pink Book Item is correct.

YELLOW PINK BOOK ITEM

Financial Allocations/Amendments for TCRP Projects					
80	Financial Allocation: \$10,000,000 for Tier 1 TCRP Project 31, Route 580; construct eastbound and westbound HOV lane from Tassajara Road/Santa Rita Road to Vasco Road in Alameda County. Resolution TFP-12-04	2.6e.	Juan Guzman Rachel Falsetti	A	D

Recommendation: Approval

Action Taken: Approved

Motion: Ghielmetti

Second: Burke

Vote result: 8-0

Absent: Dunn, Earp, Waring

Financial Allocations for Proposition 1A High Speed Passenger Train Bond Projects – Urban/Commuter					
81	Financial Allocation: \$101,148,000 for two Proposition 1A High-Speed Passenger Train Bond program projects. Resolution HST1A-A-1213-02	2.6f.(2)	Laurel Janssen Jane Perez	A	D

Recommendation: Approval

Action Taken: Approved

Motion: Assemi

Second: Frommer

Vote result: 8-0

Absent: Dunn, Earp, Waring

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: October 24, 2012

Reference No.: 4.8
Action

From: BIMLA G. RHINEHART
Executive Director

Subject: **CORRIDOR MOBILITY IMPROVEMENT ACCOUNT (CMIA) – PROGRAM AMENDMENT
RESOLUTION CMIA-P-1213-01**

ISSUE:

Should the Commission approve the proposed Corridor Mobility Improvement Account (CMIA) Program Amendment to add the *Gerald Desmond Bridge Project* to the CMIA Program?

RECOMMENDATION:

Commission staff recommends that the Commission approve the proposed CMIA Program Amendment to add the *Gerald Desmond Bridge Project* to the CMIA program.

BACKGROUND:

At its June 2012 meeting the Commission approved Resolution CMIA-P-1112-24, which specified that from hence forward all Proposition 1B Corridor Mobility Improvement Account contract award savings will be programmed and allocated to CMIA eligible projects that have already been allocated with regular SHOPP funds. The Gerald Desmond Bridge Project was allocated with regular SHOPP funds and is CMIA program eligible. Once the Gerald Desmond Bridge Project is amended into the CMIA program regular SHOPP funds allocated to the project can be removed and a commensurate amount of CMIA funds can be allocated to the project, thus encumbering under construction contract the remaining CMIA funds before their December 31, 2012 statutory deadline to be under construction.

Memorandum

TAB 56

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 24, 2012

Reference No.: 3.5
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: William A. Mosby
Interim Division Chief
Transportation Programming

Subject: **STATUS UPDATE ON TRADE CORRIDOR IMPROVEMENT FUND (TCIF) PROJECTS**

SUMMARY

The California Department of Transportation (Department) is providing the status of the Trade Corridor Improvement Fund (TCIF) projects remaining to be delivered by the implementing agencies and to be allocated by the California Transportation Commission (Commission). The Department has compiled an assessment of each unallocated project, identified critical issues, and assigned a risk level.

As of October 2012, there are 34 TCIF projects totaling approximately \$985 million of bond funds that have yet to be allocated by the Commission. Of the 34 projects yet to be allocated, 11 are considered to have a “medium-to-high risk” for delivery. The three projects considered “High Risk” will be removed from the TCIF program in the near future. The list of projects is sorted by Corridor Coalition and identifies the expected month of allocation. A risk assessment summary for each project is also included.

BACKGROUND

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, passed by the voters in November 2006, included \$2.0 billion for the Proposition 1B TCIF Program. The Commission recognized that the needs far exceeded the amount authorized in the Proposition 1B TCIF program and increased the TCIF funding by approximately \$500 million from the State Highway Account. The Commission adopted guidelines that established programming targets, which included an additional overprogramming for each corridor and a requirement to award contracts no later than December 31, 2013.

The assessment shows the TCIF remaining need of \$985 million including the allowed overprogramming, although only \$930 million is available for allocation. The Corridor Coalitions are working to address the overprogramming and are planning to be at available revenue levels by the end of 2012. The risk levels are color-coded as follows:

Low
Medium Low
Medium High
High

TCIF ID#	Risk	Co	Rte	Project	CTC Meeting
Northern California Trade Corridor Coalition					
2	Medium Low	CC		Richmond Rail Connector	Mar 2013
3.2	Low	ALA		Outer Harbor Intermodal Terminals (OHIT) [Rail Access Improvements and Manifest Yard, Segment 2]	Oct 2012
3.3	Low	ALA		Outer Harbor Intermodal Terminals (OHIT) [City Site Prep Work and Backbone Infrastructure, Segment 3]	Mar 2013
4	Low	ALA	880	I-880 Reconstruction, 29th & 23rd Avenues, Oakland [SHOPP/TCIF]	Mar 2013
6	Medium Low	KER		Tehachapi Trade Corridor Rail Improvement Project	May 2013
10	Low	SJ	4	State Route 4 West Crosstown Freeway Extension Stage 1	Jun 2013
89	Low	SOL	80/ 680/ 12	Solano I-80/680/12 Connector	May 2013
Southern California Trade Corridor Coalition					
19	Low	LA	47/110	I-110 Fwy Access Ramp Improvement SR 47/I-110 NB Connector Widening	Dec 2012
20	Low	LA	110	C Street Access Ramps Improvements	Feb 2013
21	Low	LA		Washington Boulevard Widening & Reconstruction	Feb 2013
32.2	Low	LA		Ports Rail System - Tier 1 (West Basin Road Rail Access Improvements) [Segment 2 - Berth 200 Rail Yard Track Connections]	Feb 2013
35	Medium Low	ORA		State College Boulevard Grade Separation	May 2013
40	Medium Low	ORA		Lakeview Avenue Overcrossing	Jan 2013
46	Low	RIV		Project No. 2006-05, Sunset Avenue Grade Separation	Feb 2013
48	Low	RIV		Avenue 56 Grade Separation on Yuma Subdivision of UPR Mainline	Feb 2013
50	Medium High	RIV		Grade Separation at Clay Street Railroad Grade Crossing	Apr 2013
51	Low	RIV		Riverside Avenue Grade Separation	Feb 2013
53	Low	RIV		Grade Separation at Magnolia Avenue Railroad Grade Crossing - BNSF	Feb 2013
57	Low	SBD	10	I-10 Corridor Logistics Access Project (Interchange Reconstruction at Citrus Avenue)	LONP
59	Low	SBD		ACE Glen Helen Parkway Railroad Grade Separation	Feb 2013
61	Low	SBD		ACE South Milliken Avenue Grade Separation at UP Los Angeles	Apr 2013
63	Low	SBD		Palm Avenue Grade Separation	Feb 2013
64	Low	SBD		Lenwood Road Grade Separation	Mar 2013
65	Medium Low	SBD		Vineyard Avenue Grade Separation	Mar 2013
84	Low	SBD		Laurel Street/BNSF Grade Separation	Mar 2013

85	Medium Low	RIV		Avenue 52 Grade Separation	Mar 2013
86	Low	LA		Alameda Corridor West Terminus Intermodal Railyard - West Basin Railyard Extension	Jun 2013
87.1	Low	LA		Cargo Transportation Improvement Emission Reduction Program - Phase 1	Dec 2012
87.2	Low	LA		Cargo Transportation Improvement Emission Reduction Program - Phase 2	Jun 2013
90	Low	VEN		Hueneme Road Widening	Jan 2013
San Diego Border Region					
68.1	Medium Low	SD	11	SR 11/Otay Mesa East Port of Entry [Segment 1 - SR 11/SR 905 Freeway to Freeway Connectors]	May 2013
70	Low	SD	5	10th Avenue Grade Separated Improvements	Jun 2013
71	High	SD	5	32nd Street at Harbor Drive Grade Separated Improvements	N/A
73	High	SD		National City Marine Terminal Improvement (Wharf Extension)	N/A
74	Low	SD		Southline Rail Improvements - Yard Expansion	Oct 2012
75.4	Low	SD		Southline Rail Improvements - Mainline Improvements [Phase 4 - Final Palomar Siding and System Upgrades]	Jun 2013
Other Corridor					
14	High	YOL		Sacramento River Deep Water Channel Project	Jun 2013

Definition of risk

Low - Projects within this category contain no known issues that will affect delivery.

Medium Low - Projects within this category have minor challenges that are being actively managed to stay on approved schedules.

Medium High - Projects within this category have numerous challenges. Projects are being aggressively managed to stay on the approved schedule.

High - Without change, these projects cannot be delivered and funded consistent with the program requirements.

Risk Assessment Summary

The Department has performed a project-by-project review to assess projects and identify critical items that remain to be deliver each project and the level of risk associated with the remaining risk. The items have been listed here for commission information and identified as either as right of way (RW), environmental permitting, or design related risk. Risk is a judgment call at a point in time based on known issues. Issues have the ability to be resolved quickly or to turn into lengthy negotiations. Project risks change over time.

Northern California Trade Corridor Coalition

TCIF #2 – Richmond Rail Connector

Allocation: March Delivery Agency: Caltrans/BNSF Con Bond \$10,880 Total Con \$14,060

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 8/1/12		02/01/13	Apv End Design Date: 02/01/12		02/01/13	401		02/01/13
1 Parcel								
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: Future Consideration of Funding targeted for the December 2012 Commission meeting. Federal authorization to proceed with RW is needed for the required parcel.								

TCIF #3.2 – Outer Harbor Intermodal Terminals (OHIT) [Rail Access Improvements and Manifest Yard, Segment 2]

Allocation: October Delivery Agency: Port of Oakland Con Bond \$65,800 Total Con \$65,800

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/31/13		Complete	Apv End Design Date: 03/31/13		Complete	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Allocation pending for the October 2012 Commission Meeting.								

TCIF #3.3 – Outer Harbor Intermodal Terminals (OHIT) [City Site Prep Work and Backbone Infrastructure, Segment 3]

Allocation: March Delivery Agency: City of Oakland Con Bond \$176,341 Total Con \$216,841

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/31/13		03/31/13	Apv End Design Date: 03/31/13		03/31/13			
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Project moving forward under Design Build contract. Design is at 35 percent. Notice to Proceed expected in July 2013.								

TCIF #4 – I-880 Reconstruction, 29th & 23rd Avenues, Oakland [SHOPP/TCIF]

Allocation: March Delivery Agency: Caltrans Con Bond \$73,000 Total Con \$80,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 10/31/12		11/30/12	Apv End Design Date: 12/01/11		12/31/12	None		
8 utility relocations			Freeway/Maintenance Agreement		12/31/12			
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Four utility agreements still needed. Can certify RW with a Cert 3W if needed, but expecting a RW Cert #2. PSE with HQ for review, expect comments first week of November.								

TCIF #6 – Tehachapi Trade Corridor Rail Improvement Project

Allocation: April Delivery: Agency Caltrans/BNSF Con Bond \$54,000 Total Con \$108,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: N/A			Apv End Design Date: 12/01/11			401		
						404		
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: Future Consideration of Funding targeted for the January 2013 Commission meeting. Definitive Agreements and Baseline agreement amendment targeted for the December 2012 Commission meeting.								

TCIF #10 – State Route 4 West Crosstown Freeway Extension Stage 1

Allocation: June Delivery Agency: SJCOG Con Bond \$96,820 Total Con \$134,040

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 05/01/13		05/01/13	Apv End Design Date: 06/01/13		06/01/13	RWCB		
2 RONs, requesting appearance		03/01/13	C&M agreement		03/30/13	7A/7B		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: RON appearance targeted for January or March, first level hearing completed.								

TCIF #89 – Solano I-80/680/12 Connector

Allocation: May Delivery Agency: Caltrans Con Bond \$24,000 Total Con \$64,860

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/13/13		03/13/13	Apv End Design Date: 03/15/13		03/15/13	401		12/31/12
RON hearings by Local Board						404		12/31/12
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Anticipate sending PSE to HQ for review by December 2012. RON hearing in January 2013.								

Southern California Trade Corridor Coalition

TCIF #19 – I-110 Fwy Access Ramp Improvement SR 47/I-110 NB Connector Widening

Allocation: December Delivery Agency: POLA Con Bond \$14,700 Total Con \$32,800

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: N/A		N/A	Apv End Design Date: 02/28/13		02/28/13	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Design at 100 percent. Allocation is targeted for the December 2012 Commission meeting.								

TCIF #20 – 110 - C Street Access Ramps Improvements

Allocation: February Delivery Agency: POLA Con Bond \$8,300 Total Con \$29,884

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: N/A		N/A	Apv End Design Date: 01/31/13		01/31/13	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Minor utility issues, design at 100 percent.								

TCIF #21 – Washington Boulevard Widening & Reconstruction

Allocation: February Delivery Agency: City of Commerce Con Bond \$5,800 Total Con \$26,239

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 10/01/11		Complete	Apv End Design Date: 10/01/11		01/01/13	Complete		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Design at 85 percent. Amendment targeted for January 2013 to update schedule.								

TCIF #32.2 - Ports Rail System - Tier 1 (West Basin Road Rail Access Improvements)

[Segment 2 - Berth 200 Rail Yard Track Connections]

Allocation: February Delivery Agency: POLA Con Bond \$10,512 Total Con \$24,700

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 04/01/12		12/31/12	Apv End Design Date: 06/01/12		02/28/13	PUC		
						City B-Permits		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: The discovery of unknown utility substructures has required a redesign of the rail alignment, which subsequently is causing a delay in finalizing permits.								

TCIF #35 – State College Boulevard Grade Separation

Allocation: May Delivery Agency: Fullerton Con Bond \$34,107 Total Con \$51,652

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/01/13		05/01/13	Apv End Design Date: 03/01/13		03/01/13	PUC		04/01/13
22 parcels			BNSF C&M Agreement		04/01/13			
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: OCTA has taken lead for RW in order to move project forward. Risk considered moderate based on number of parcels. Parcels consist of 14 residential, 8 commercial; all are partial take, easements and/or TCE.								

TCIF #40 – Lakeview Avenue Overcrossing

Allocation: March Delivery Agency: OCTA Con Bond \$28,685 Total Con \$51,577

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 01/01/13		01/01/13	Apv End Design Date: 09/01/12		09/01/12	404		02/01/13
27 Parcels			BNSF C&M Agreement		02/01/13	401		02/01/13
						PUC		02/01/13

Overall Risk: **◆** Medium Low Critical Items: Risk considered moderate based on the number of parcels. Anticipate RW Cert #3 by December and RW Cert #2 by March 2013.

TCIF #46 – Sunset Avenue Grade Separation

Allocation: February Delivery Agency: City of Banning Con Bond \$10,000 Total Con \$30,900

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 07/01/11		12/27/12	Apv End Design Date: 07/01/11		11/30/12	None		
8 parcels			BNSF C&M Agreement		11/30/12			

Overall Risk: **☑** Low Critical Items: Anticipate issues with one property owner, condemnation has been initiated. Amendment targeted for December 2012 to update schedule, funding plan, and cost.

TCIF #48 – Avenue 56 Grade Separation on Yuma Subdivision of UPR Mainline

Allocation: February Delivery Agency: Riverside Co. Con Bond \$10,000 Total Con \$47,900

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/30/12		12/27/12	Apv End Design Date: 06/29/12		12/07/12	None		
5 parcels								

Overall Risk: **☑** Low Critical Items: Most property owners are cooperating, condemnation has been initiated

TCIF #50 – Grade Separation at Clay Street Railroad Grade Crossing

Allocation: April Delivery Agency: Riverside Co. Con Bond \$12,500 Total Con \$29,900

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 09/30/11		03/01/13	Apv End Design Date: 06/30/11		12/27/12	WQCB		11/30/12
12 parcels								
16 easement								
12 TEC								

Overall Risk: **●** Medium High Critical Items: Federal authorization to proceed with RW is pending, anticipate approval end of October. Expect to initiate condemnation on all parcels in order to move forward. Moderate risk based on number of parcels and pending authorization to proceed. Water Quality Control Board permit required during October and November 2012 for groundwater testing.

TCIF #51 – Riverside Avenue Grade Separation

Allocation: February Delivery Agency: City of Riverside Con Bond \$8,500 Total Con \$15,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/01/11		12/01/12	Apv End Design Date: 03/01/11		04/01/13			
11 parcels			Encroachment Permit					

Overall Risk: **☑** Low Critical Items: City has possession of all needed RW and anticipates a signed cert by December 2012. Amendment targeted for December 2012 to update schedule, funding plan, and cost. Encroachment Permit is required prior to advertisement of bids, which is scheduled for July 2013.

TCIF #53 – Grade Separation at Magnolia Avenue Railroad Grade Crossing - BNSF

Allocation: February Delivery Agency: Riverside Co. Con Bond \$13,700 Total Con \$71,870

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 03/29/13		02/01/13	Apv End Design Date: 12/30/11		11/30/12	None		
9 parcels			C&M Agreement		Complete			

Overall Risk: **☑** Low Critical Items: Condemnation is ongoing, utility re-locations have started, and Design is at 95 percent.

TCIF #57 – I-10 Corridor Logistics Access Project (Interchange Reconstruction Citrus Ave.)

Allocation: June Delivery Agency: SANBAG Con Bond \$23,600 Total Con \$47,200

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date: 04/30/11		Apv End Design Date: 04/30/11		None	
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Project delivered under a LONP.					

TCIF #59 – Glen Helen Parkway Railroad Grade Separation

Allocation: February Delivery Agency: San Bernardino Co Con Bond \$7,172 Total Con \$21,218

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date: 01/01/12	12/1/12	Apv End Design Date: 01/31/12	12/1/12	None	
RR Parcels		C&M Agreement	12/1/12		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: All RW has been acquired except for the RR parcels. Design at 95 percent. Amendment targeted for December 2012 to update the schedule, funding plan, and cost.					

TCIF #61 – South Milliken Avenue Grade Separation at UP Los Angeles

Allocation: April Delivery Agency: City of Ontario Con Bond \$14,521 Total Con \$66,970

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date: 01/31/13	01/24/13	Apv End Design Date: 02/01/12	04/01/13	None	
9 Parcels		UP C&M Agreement	01/01/13		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: All offers have been made on the nine parcels, anticipate condemnation. Design at 90 percent. C&M with UP for review.					

TCIF #63 – Palm Avenue Grade Separation

Allocation: February Delivery Agency: SANBAG Con Bond \$9,390 Total Con \$31,300

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date: 06/30/12	01/15/13	Apv End Design Date: 06/30/12	01/15/13	401	12/01/12
6 parcels		C&M Agreement	12/01/12	404	12/01/12
				1600	12/01/12
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Eminent domain process started on all parcels, currently finalizing agreements. Design at 95 percent. C&M agreement is complete, just need final signatures.					

TCIF #64 – Lenwood Road Grade Separation

Allocation: May Delivery Agency: City of Barstow Con Bond \$6,694 Total Con \$21,572

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date: 12/01/11	04/19/13	Apv End Design Date: 01/01/12	09/01/12	None	
5 parcels		C&M Agreement	12/01/12		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: SANBAG has taken lead of RW to ensure delivery schedule is met. RONs approved at the September 2012 SANBAG Board meeting.					

TCIF #65 – Vineyard Avenue Grade Separation

Allocation: April Delivery Agency: City of Ontario Con Bond \$6,884 Total Con \$33,365

RW Risks	Target	Design Risks	Target	Permit Risks	Target
Apv End RW Date: 06/30/12	01/02/13	Apv End Design Date: 12/31/11	03/07/13	404	01/10/13
10 parcels		C&M Agreement	01/31/13	408	01/10/13
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: RW is on the critical path. Offers made as of September, anticipate condemnation on a few parcels. Design is at 90 percent. Risk considered moderate based on number of parcels. Application for permits has been filed.					

TCIF #84 – Laurel Street/BNSF Grade Separation

Allocation: March Delivery Agency: SANBAG Con Bond \$11,917 Total Con \$41,367

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 07/16/12		01/04/13	Apv End Design Date: 07/16/12		12/15/12	PUC		12/01/12
5 parcels require RON hearing			C&M Agreement		11/01/12			
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: RON hearings are scheduled for October, expect order of possession by December. Design at 95 percent.								

TCIF #85 – Avenue 52 Grade Separation

Allocation: March Delivery Agency: City of Coachella Con Bond \$10,000 Total Con \$17,122

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 11/01/12		04/01/13	Apv End Design Date: 12/01/12		04/01/13	None		
20 parcels (5 residential 15 commercial)			C&M Agreement		01/31/13			
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: Acquisition and compensation issues due to property values being less than owed. Risk considered moderate based on number of parcels								

TCIF #86 – Alameda Corridor West Terminus Intermodal Railyard -West Basin Railyard Extension

Allocation: June Delivery Agency: POLA Con Bond \$20,712 Total Con \$41,424

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 06/30/13		04/30/13	Apv End Design Date: 06/30/13		05/31/13	City B-Permit		04/01/13
Utility Relocation						PUC		Complete
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Project is progressing. Design is at 25 percent.								

TCIF #87.1 – Cargo Transportation Improvement Emission Reduction Program - Phase 1

Allocation: December Delivery Agency: POLA Con Bond \$12,705 Total Con \$25,410

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 09/30/12		11/01/12	Apv End Design Date: 10/31/12		10/31/12	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Allocation pending for the December 2012 Commission meeting. RW cert is currently being processed.								

TCIF #87.2 – Cargo Transportation Improvement Emission Reduction Program - Phase 2

Allocation: June Delivery Agency: POLA Con Bond \$23,164 Total Con \$77,544

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 06/30/13		6/01/13	Apv End Design Date: 06/30/12		06/01/13	None		
Utility Relocation								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Project is progressing. Design is at 40 percent.								

TCIF #90 – Hueneme Road Widening

Allocation: January Delivery Agency: City of Oxnard Con Bond \$1,462 Total Con \$2,924

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 07/01/10		Complete	Apv End Design Date: 02/01/12		Complete	PUC		11/1/12
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: PUC agreement is with RR for review.								

San Diego Border Region

TCIF #68.1 – SR 11/Otay Mesa East Port of Entry [Segment 1 - SR 11/SR 905 Freeway to Freeway Connectors]

Allocation: May Delivery Agency: Caltrans Con Bond \$75,000 Total Con \$76,500

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 04/30/13		4/30/13	Apv End Design Date: 04/30/13		4/1/13			
9 commercial parcels								
Overall Risk: <input checked="" type="checkbox"/> Medium Low Critical Items: Project using design sequencing to help with delivery. First written offers to go								

out in the next couple months. Anticipating condemnation on a couple of the parcels.

TCIF #70 – 10th Avenue Grade Separated Improvements

Allocation: June Delivery Agency: Port of San Diego Con Bond \$1,550 Total Con \$3,166

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 05/23/13		5/23/13	Apv End Design Date: 05/23/13		5/23/13	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Road Improvements only, no RW or permits needed. Design is currently at 65 percent.								

TCIF #71 – 32nd Street at Harbor Drive Grade Separated Improvements

Allocation: N/A Delivery Agency Caltrans Con Bond \$50,665 Total Con \$93,200

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 05/23/13		N/A	Apv End Design Date: 05/23/13		N/A			
Overall Risk: <input checked="" type="checkbox"/> High Critical Items: Project will be deleted from the program at the October 2012 Commission meeting.								

TCIF #73 – National City Marine Terminal Improvement (Wharf Extension)

Allocation: N/A Delivery Agency Port of San Diego Con Bond \$15,000 Total Con \$30,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 02/28/10		N/A	Apv End Design Date: 02/28/10		N/A			
Overall Risk: <input checked="" type="checkbox"/> High Critical Items: Project will be deleted from the program at the October 2012 Commission meeting.								

TCIF #74 – Southline Rail Improvements - Yard Expansion

Allocation: October Delivery Agency: SANDAG Con Bond \$25,900 Total Con \$25,900

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: 07/01/12		07/01/12	Apv End Design Date: 07/01/12		09/15/12	None		
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Allocation pending for the October 2012 Commission meeting.								

TCIF #75.4 – Southline Rail Improvements - Mainline Improvements [Phase 4 - Final Palomar Siding and System Upgrades]

Allocation: June Delivery Agency: SANDAG Con Bond \$66,660 Total Con \$66,660

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: N/A		N/A	Apv End Design Date: 06/01/13		05/01/13			
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: No RW needed. Design is currently at 50 percent.								

Other Corridor

TCIF #14 – Sacramento River Deep Water Channel Project

Allocation: June Delivery Agency: Port of West Sac. Con Bond \$10,000 Total Con \$97,633

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date: N/A		N/A	Apv End Design Date: 09/30/12					
Overall Risk: <input checked="" type="checkbox"/> High Critical Items: Due to environmental delays, it has been determined that construction will not start by the required December 2013 deadline. The Port is currently discussing the viability of a replacement project with Commission Staff.								

Memorandum

TAB 76

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 24, 2012

Reference No.: 2.5e.
Action Item
REPLACEMENT ITEM

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rachel Falsetti
Division Chief
Transportation Programming

Subject: ALLOCATION FOR SUPPLEMENTAL FUNDS FOR PREVIOUSLY VOTED PROJECT
RESOLUTION FA-12-14

RECOMMENDATION:

The California Department of Transportation (Department) recommends that the California Transportation Commission (Commission) allocate an additional \$520,000 in State Transportation Improvement Program (STIP) funds for the Milpas-Cabrillo Operational Improvements project (PPNO 0478) in Santa Barbara County.

ISSUE:

Additional funds are needed for one previously voted STIP project in order to close out the construction contract.

RESOLUTION:

Resolved, that \$520,000 be allocated from the Budget Act of 2012, Budget Act Item 2660-304-6058, to provide additional funds for the project identified below.

Project	Dist-Co-Rte	Funds	Original Allocated Amount	Current Allocation	Allocation Adjustment	Revised Allocation	% Increase Above Current Allocation
1	05-SB-101	RIP ⁽¹⁾	\$52,243,000	\$56,313,200	\$520,000	\$56,833,200	0.9%
		Local	\$792,000	\$792,000	\$0	\$792,000	0.0%
		RSTP	\$0	<u>\$1,833,000</u>	<u>\$0</u>	<u>\$1,833,000</u>	0.0%
		Total	\$53,035,000	\$58,938,200	\$520,000	\$59,458,200	0.9%

Notes:

- (1) Current RIP allocation reflects G-12 adjustments of -\$1,231,000 in April 2008, \$1,750,000 in November 2010, and \$3,551,200 in July 2011.



Santa Barbara County

FUNDING STATUS:

The Commission allocated \$53,043,000 of STIP funds in November 2007, which was reduced to \$52,243,000 by a technical correction in February 2008. The construction contract was awarded in April 2008 with an authorized budget of \$51,804,000, comprised of \$51,012,000 of STIP funds and \$792,000 of local funds. The Department used its delegated authority to adjust project allocations to increase the construction budget by \$5,301,200, and the Santa Barbara County Association of Governments added \$1,833,000 of Regional Surface Transportation Program funds to increase the budget to \$58,938,200.

Construction was completed in June 2012. However, an additional \$520,000 is needed to close out the construction contract.

REASONS FOR COST INCREASE:

The contractor submitted two Notices of Potential Claim (NOPC) totaling approximately \$3,000,000. The NOPCs involve delays caused by redesign of the Stage 1 work at the Milpas Street Undercrossing and various Contract Change Orders, which together resulted in a 29 percent increase in construction time. The redesign of Stage 1 was necessary because the new structures could not be built as designed due to the close proximity of existing structures. The new structures were redesigned to facilitate construction, resulting in a delay of eight months. The Dispute Review Board, contractor and Department reviewed the merits of the claims and agreed that portions of the claims are compensable. The contractor and the State have agreed to resolve any and all claims for the amount of \$763,000 plus \$35,000 interest. Payment to resolve these claims would deplete the remaining contingency funds, resulting in the need for an additional \$520,000.

FUNDING OPTIONS:

OPTION A: Approve this request for supplemental funds, as presented above, for \$520,000 to close out the construction contract.

OPTION B: Deny this request. Under this option, the contractor will most likely take these claims to arbitration, which would result in a much higher cost to the Department due to legal fees and additional interest.

RECOMMENDED OPTION:

The Department recommends that this request of \$520,000, as presented in Option A above, be approved to close out the construction contract.