Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: June 11, 2013

Reference No.: 4.3
Information

From: ANDRE BOUTROS
Executive Director

Subject: **DRAFT 2014 STIP FUND ESTIMATE (FE)**

SUMMARY:

The Department is submitting the draft 2014 STIP Fund Estimate (FE) to the Commission at this meeting of June 11, 2013.

The draft FE shows that there is no new programming capacity in the first three years of the STIP period (2014-15 through 2016-17), due to no capacity for the Public Transportation Account (PTA) or Transportation Enhancements (TE). Therefore, total programming targets are only for the last two years of the STIP period (2017-18 and 2018-19).

State law provides that up to 5% of a county share may be expended for planning, programming and monitoring (PPM). This limitation is applied separately to each four-year county share period. Table 3 shows the PPM limits for the STIP period 2016-17 through 2018-19. The PPM limitation is a limit to the amount that can be programmed in any region and is not in addition to amounts already programmed.

No Commission action is required as this is an information item; however, staff recommends that the Commission consider any comments received at the June 2013 meeting, and direct staff to work with the Department to present the 2014 STIP FE for adoption at the August 6, 2013 meeting.

BACKGROUND:

Government Code section 14525(a) requires the Commission to adopt the STIP Fund Estimate by August 15 of each odd-numbered year.

Attachments

2014 STIP Fund Estimate
County and Interregional Share Estimates

The STIP consists of two broad programs, the regional program funded from 75 percent of new STIP funding and the interregional program funded from 25 percent of new STIP funding. The 75 percent regional program is further subdivided by formula into County Shares. County Shares are available solely for projects nominated by regions in their Regional Transportation Improvement Programs (RTIP).

The Draft 2014 STIP Fund Estimate indicates that the STIP is over-programmed by approximately 12% in the early years of the 2014 STIP due primarily to the loss of Transportation Enhancement (TE) funding. Some of this over-programming will likely be resolved through the schedule updates which occur each STIP cycle, and through the deletion of TE projects by regions or Caltrans. However, some projects currently programmed in the STIP may need to be delayed (reprogrammed into a later year).

Existing TE projects may remain in the STIP so long as they are eligible for State Highway Account or Federal funds.

Table 1. Reconciliation to County and Interregional Shares

This table lists the net changes to program capacity from the ***draft*** 2014 STIP FE to the capacity used in the County and Interregional Shares. This table is currently based on the estimated Commission actions through June 30, 2013. The program capacity used in the adopted Fund Estimate will be updated to include final Commission's actions through the June 2013 Commission meeting.

Table 2. County and Interregional Shares – Total Target

This table displays the ***draft*** 2014 STIP targets of the new statewide capacity through 2018-19. As shown in Table 1, new capacity is in the two new years of the Fund Estimate: 2017-18 and 2018-19. Therefore, nearly all new programming added in the 2014 STIP will be in 2017-18 and 2018-19.

Table 3. County and Interregional Shares – Maximum Target

This table displays the ***draft*** 2014 STIP targets of the new statewide capacity through the next County share period, ending in 2019-20. This is the maximum amount that the Commission may program in a county, other than advancing future shares, pursuant to Section 188.8(j) of the S&HC, to a county with a population of under 1 million.

Table 4. Planning, Programming, and Monitoring (PPM) Limitations

- State law and the STIP guidelines provide that up to 5% of a county share may be expended for planning, programming, and monitoring (PPM). This limitation is applied separately to each four-year county share period. This table identifies the ***draft*** county and interregional shares for 2016-17 through 2018-19 (the first three years of the 2016-17 through 2019-20 share period) based upon the 2012 Fund Estimate and the ***draft*** 2014 Fund Estimate. These are the amounts against which the 5% PPM Limitation is applied. The PPM Limitations are limits to the amounts that can be programmed in any region and are not in addition to amounts already programmed.

DRAFT 2014 STIP FUND ESTIMATE

Table 1 - Reconciliation to County and Interregional Shares

($ millions)

Public Transportation Account (PTA)	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
2014 FE PTA Target Capacity	$25	$0	$0	$0	$0	$0	$0	$25
Total 2014 STIP FE PTA Target Capacity	**$25**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$25**
2012 STIP Program [1]	**$68**	**$84**	**$101**	**$97**	**$0**	**$0**	**$282**	**$350**
Extensions	$11	$43	$0	$0	$0	$0	$43	$54
Delivered But Not Allocated	$0	$0	$0	$0	$0	$0	$0	$0
Advances	$0	$0	$0	$0	$0	$0	$0	$0
Net PTA STIP Program	**$79**	**$127**	**$101**	**$97**	**$0**	**$0**	**$325**	**$404**
PTA Capacity for County Shares	**($54)**	**($127)**	**($101)**	**($97)**	**$0**	**$0**	**($325)**	**($379)**
Cumulative	**($54)**	**($181)**	**($282)**	**($379)**	**($379)**	**($379)**		

SHA	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
2014 FE Non-PTA Target Capacity	$642	$744	$666	$666	$666	$661	$3,403	$4,045
2014 FE Non-PTA GARVEE Debt Service	($84)	($84)	($11)	($11)	($11)	($11)	($128)	($212)
TE State Match (Estimated program totals)	($8)	$0	$0	$0	$0	$0	$0	($8)
Total 2014 STIP FE Non-PTA Capacity	**$550**	**$660**	**$655**	**$655**	**$655**	**$650**	**$3,275**	**$3,825**
2012 STIP Program [1]	**$444**	**$516**	**$572**	**$532**	**$0**	**$0**	**$1,620**	**$2,063**
Extensions	$120	$2	$0	$0	$0	$0	$2	$122
Delivered But Not Allocated	$0	$0	$0	$0	$0	$0	$0	$0
Advances	$0	($5)	$0	$0	$0	$0	($5)	($5)
Net Non-PTA STIP Program	**$564**	**$512**	**$572**	**$532**	**$0**	**$0**	**$1,616**	**$2,180**
Non-PTA Capacity for County Shares	**($14)**	**$148**	**$83**	**$123**	**$655**	**$650**	**$1,659**	**$1,645**
Cumulative	**($14)**	**$134**	**$217**	**$340**	**$995**	**$1,645**		

Transportation Enhancements (TE)	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
2014 STIP FE TE Capacity (Federal)	$0	$0	$0	$0	$0	$0	$0	$0
TE State Match (Estimated program totals)	$8	$0	$0	$0	$0	$0	$0	$8
Total 2014 STIP FE TE Capacity	**$8**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$8**
2012 STIP Program [1]	**$81**	**$95**	**$72**	**$93**	**$0**	**$0**	**$259**	**$340**
Extensions	$3	$1	$0	$0	$0	$0	$1	$4
Advances	($6)	($3)	($1)	($1)	$0	$0	($6)	($12)
Net TE	**$78**	**$92**	**$70**	**$91**	**$0**	**$0**	**$254**	**$332**
TE Capacity for County Shares	**($70)**	**($92)**	**($70)**	**($91)**	**$0**	**$0**	**($254)**	**($324)**
Cumulative	**($70)**	**($162)**	**($233)**	**($324)**	**($324)**	**($324)**		

Total Capacity	**($137)**	**($72)**	**($88)**	**($65)**	**$655**	**$650**	**$1,080**	**$942**

Notes:

General note: Numbers may not add due to rounding.

[1] 2012 STIP through June 2013

DRAFT 2014 Fund Estimate
County and Interregional Shares
Table 2. Calculation of New Programming Targets and Shares - Total Target
($1,000's)

County	Net Carryover		2014 STIP Share through 2018-19			
	Unprogrammed Balance	Balance Advanced	Formula Distribution	Add Back Lapses 11-12/12/13	**Net Share (Total Target)**	Net Advance
Alameda	2,000	0	22,436	0	**24,436**	0
Alpine	1,255	0	662	0	**1,917**	0
Amador	350	0	1,520	0	**1,870**	0
Butte	12,488	0	4,445	0	**16,933**	0
Calaveras	0	0	1,795	0	**1,795**	0
Colusa	673	0	1,191	130	**1,994**	0
Contra Costa	5,000	0	15,306	0	**20,306**	0
Del Norte	0	(11,560)	1,115	0	**0**	(10,445)
El Dorado LTC	0	(9,478)	3,093	0	**0**	(6,385)
Fresno	0	(8,176)	16,943	1,430	**10,197**	0
Glenn	1,802	0	1,248	1	**3,051**	0
Humboldt	0	(5,655)	4,483	40	**0**	(1,132)
Imperial	6,741	0	7,959	0	**14,700**	0
Inyo	9,824	0	6,194	338	**16,356**	0
Kern	0	(2,711)	22,823	573	**20,685**	0
Kings	0	(17,941)	3,347	0	**0**	(14,594)
Lake	4,665	0	1,951	232	**6,848**	0
Lassen	652	0	2,851	900	**4,403**	0
Los Angeles	0	(17,809)	135,327	3,358	**120,876**	0
Madera	0	(14,078)	3,098	0	**0**	(10,980)
Marin	0	(39,820)	4,181	245	**0**	(35,394)
Mariposa	1,541	0	1,166	0	**2,707**	0
Mendocino	1,081	0	4,190	0	**5,271**	0
Merced	11,655	0	5,517	0	**17,172**	0
Modoc	1,373	0	1,520	232	**3,125**	0
Mono	8,439	0	4,609	165	**13,213**	0
Monterey	0	(6,844)	7,969	10,256	**11,381**	0
Napa	2,678	0	2,753	230	**5,661**	0
Nevada	0	(4,118)	2,366	0	**0**	(1,752)
Orange	0	(1,653)	41,870	8,429	**48,646**	0
Placer TPA	0	(45,878)	5,668	0	**0**	(40,210)
Plumas	2,925	0	1,700	0	**4,625**	0
Riverside	15,380	0	36,795	1,916	**54,091**	0
Sacramento	17,630	0	21,201	500	**39,331**	0
San Benito	0	(6,819)	1,464	0	**0**	(5,355)
San Bernardino	0	(5,969)	42,271	115	**36,417**	0
San Diego	0	(29,142)	47,553	0	**18,411**	0
San Francisco	0	(2,827)	11,340	0	**8,513**	0
San Joaquin	7,957	0	11,476	338	**19,771**	0
San Luis Obispo	0	(4,624)	8,522	642	**4,540**	0
San Mateo	3,728	0	11,706	1,000	**16,434**	0
Santa Barbara	0	(12,288)	9,532	1,430	**0**	(1,326)
Santa Clara	0	(19,262)	26,590	660	**7,988**	0
Santa Cruz	0	(611)	4,592	0	**3,981**	0
Shasta	7,628	0	4,891	0	**12,519**	0
Sierra	1,043	0	807	121	**1,971**	0
Siskiyou	2,470	0	3,348	307	**6,125**	0
Solano	1,256	0	6,921	0	**8,177**	0
Sonoma	0	(21,840)	8,621	1,204	**0**	(12,015)
Stanislaus	3,292	0	8,510	0	**11,802**	0
Sutter	1,327	0	1,957	0	**3,284**	0
Tahoe RPA	1,585	0	1,038	0	**2,623**	0
Tehama	2,422	0	2,502	479	**5,403**	0
Trinity	586	0	1,759	60	**2,405**	0
Tulare	0	(6,022)	10,509	250	**4,737**	0
Tuolumne	8,626	0	1,963	0	**10,589**	0
Ventura	5,099	0	14,187	1,500	**20,786**	0
Yolo	6,739	0	4,071	915	**11,725**	0
Yuba	3,004	0	1,496	100	**4,600**	0
Statewide Regional	164,914	(295,125)	646,918	38,096	**694,391**	(139,588)
Interregional	0	(13,246)	215,639	16,287	**218,680**	0
TOTAL	164,914	(308,371)	862,557	54,383	**913,071**	(139,588)

Statewide Flexible Capacity	1,644,992
Statewide PTA Capacity	(378,695)
Statewide TE Capacity	(324,031)
Total	942,266

Draft 2014 Fund Estimate
County and Interregional Shares
Table 3. Calculation of New Programming Targets and Shares - Maximum
($1,000's)

County	Net Carryover Unprogrammed Balance	Net Carryover Balance Advanced	2014 STIP Share through 2019-20 Formula Distribution	Add Back Lapses 11-12/12-13	**Net Share (Maximum)**	Net Advance
Alameda	2,000	0	38,659	0	**40,659**	0
Alpine	1,255	0	1,141	0	**2,396**	0
Amador	350	0	2,619	0	**2,969**	0
Butte	12,488	0	7,658	0	**20,146**	0
Calaveras	0	0	3,092	0	**3,092**	0
Colusa	673	0	2,053	130	**2,856**	0
Contra Costa	5,000	0	26,374	0	**31,374**	0
Del Norte	0	(11,560)	1,922	0	**0**	(9,638)
El Dorado LTC	0	(9,478)	5,330	0	**0**	(4,148)
Fresno	0	(8,176)	29,195	1,430	**22,449**	0
Glenn	1,802	0	2,151	1	**3,954**	0
Humboldt	0	(5,655)	7,725	40	**2,110**	0
Imperial	6,741	0	13,714	0	**20,455**	0
Inyo	9,824	0	10,672	338	**20,834**	0
Kern	0	(2,711)	39,326	573	**37,188**	0
Kings	0	(17,941)	5,767	0	**0**	(12,174)
Lake	4,665	0	3,361	232	**8,258**	0
Lassen	652	0	4,912	900	**6,464**	0
Los Angeles	0	(17,809)	233,181	3,358	**218,730**	0
Madera	0	(14,078)	5,338	0	**0**	(8,740)
Marin	0	(39,820)	7,205	245	**0**	(32,370)
Mariposa	1,541	0	2,009	0	**3,550**	0
Mendocino	1,081	0	7,219	0	**8,300**	0
Merced	11,655	0	9,507	0	**21,162**	0
Modoc	1,373	0	2,620	232	**4,225**	0
Mono	8,439	0	7,942	165	**16,546**	0
Monterey	0	(6,844)	13,731	10,256	**17,143**	0
Napa	2,678	0	4,744	230	**7,652**	0
Nevada	0	(4,118)	4,077	0	**0**	(41)
Orange	0	(1,653)	72,145	8,429	**78,921**	0
Placer TPA	0	(45,878)	9,766	0	**0**	(36,112)
Plumas	2,925	0	2,929	0	**5,854**	0
Riverside	15,380	0	63,402	1,916	**80,698**	0
Sacramento	17,630	0	36,531	500	**54,661**	0
San Benito	0	(6,819)	2,523	0	**0**	(4,296)
San Bernardino	0	(5,969)	72,837	115	**66,983**	0
San Diego	0	(29,142)	81,938	0	**52,796**	0
San Francisco	0	(2,827)	19,539	0	**16,712**	0
San Joaquin	7,957	0	19,774	338	**28,069**	0
San Luis Obispo	0	(4,624)	14,684	642	**10,702**	0
San Mateo	3,728	0	20,171	1,000	**24,899**	0
Santa Barbara	0	(12,288)	16,425	1,430	**5,567**	0
Santa Clara	0	(19,262)	45,817	660	**27,215**	0
Santa Cruz	0	(611)	7,912	0	**7,301**	0
Shasta	7,628	0	8,428	0	**16,056**	0
Sierra	1,043	0	1,390	121	**2,554**	0
Siskiyou	2,470	0	5,769	307	**8,546**	0
Solano	1,256	0	11,926	0	**13,182**	0
Sonoma	0	(21,840)	14,855	1,204	**0**	(5,781)
Stanislaus	3,292	0	14,664	0	**17,956**	0
Sutter	1,327	0	3,372	0	**4,699**	0
Tahoe RPA	1,585	0	1,789	0	**3,374**	0
Tehama	2,422	0	4,312	479	**7,213**	0
Trinity	586	0	3,031	60	**3,677**	0
Tulare	0	(6,022)	18,109	250	**12,337**	0
Tuolumne	8,626	0	3,382	0	**12,008**	0
Ventura	5,099	0	24,446	1,500	**31,045**	0
Yolo	6,739	0	7,014	915	**14,668**	0
Yuba	3,004	0	2,578	100	**5,682**	0
Statewide Regional	164,914	(295,125)	1,114,702	38,096	**1,135,887**	(113,300)
Interregional	0	(13,246)	371,567	16,287	**374,608**	0
TOTAL	164,914	(308,371)	1,486,269	54,383	**1,510,495**	(113,300)

Statewide Flexible Capacity	2,294,992
Statewide PTA Capacity	(378,695)
Statewide TE Capacity	(324,031)
Total	1,592,266

DRAFT 2014 STIP FUND ESTIMATE

County and Interregional Shares

Table 4 - Planning, Programming and Monitoring (PPM) Limitations

($1,000's)

	Total			5% PPM Limitation
County	2012 STIP FY 2016/17	2014 STIP 16/17-18/19	Total 16/17-18/19	FY 2016/17-2018-19
Alameda	20,348	22,436	42,784	**2,139**
Alpine	602	662	1,264	**63**
Amador	1,383	1,520	2,903	**145**
Butte	4,031	4,445	8,476	**424**
Calaveras	1,623	1,795	3,418	**171**
Colusa	1,081	1,191	2,272	**114**
Contra Costa	13,881	15,306	29,187	**1,459**
Del Norte	1,011	1,115	2,126	**106**
El Dorado LTC	2,806	3,093	5,899	**295**
Fresno	15,366	16,943	32,309	**1,615**
Glenn	1,132	1,248	2,380	**119**
Humboldt	4,066	4,483	8,549	**427**
Imperial	7,218	7,959	15,177	**759**
Inyo	5,617	6,194	11,811	**591**
Kern	20,698	22,823	43,521	**2,176**
Kings	3,035	3,347	6,382	**319**
Lake	1,769	1,951	3,720	**186**
Lassen	2,585	2,851	5,436	**272**
Los Angeles	122,728	135,327	258,055	**12,903**
Madera	2,810	3,098	5,908	**295**
Marin	3,792	4,181	7,973	**399**
Mariposa	1,058	1,166	2,224	**111**
Mendocino	3,799	4,190	7,989	**399**
Merced	5,004	5,517	10,521	**526**
Modoc	1,379	1,520	2,899	**145**
Mono	4,180	4,609	8,789	**439**
Monterey	7,227	7,969	15,196	**760**
Napa	2,497	2,753	5,250	**263**
Nevada	2,146	2,366	4,512	**226**
Orange	37,971	41,870	79,841	**3,992**
Placer TPA	5,140	5,668	10,808	**540**
Plumas	1,542	1,700	3,242	**162**
Riverside	33,370	36,795	70,165	**3,508**
Sacramento	19,227	21,201	40,428	**2,021**
San Benito	1,328	1,464	2,792	**140**
San Bernardino	38,336	42,271	80,607	**4,030**
San Diego	43,126	47,553	90,679	**4,534**
San Francisco	10,283	11,340	21,623	**1,081**
San Joaquin	10,407	11,476	21,883	**1,094**
San Luis Obispo	7,729	8,522	16,251	**813**
San Mateo	10,617	11,706	22,323	**1,116**
Santa Barbara	8,644	9,532	18,176	**909**
Santa Clara	24,115	26,590	50,705	**2,535**
Santa Cruz	4,164	4,592	8,756	**438**
Shasta	4,436	4,891	9,327	**466**
Sierra	732	807	1,539	**77**
Siskiyou	3,036	3,348	6,384	**319**
Solano	6,277	6,921	13,198	**660**
Sonoma	7,819	8,621	16,440	**822**
Stanislaus	7,718	8,510	16,228	**811**
Sutter	1,775	1,957	3,732	**187**
Tahoe RPA	942	1,038	1,980	**99**
Tehama	2,269	2,502	4,771	**239**
Trinity	1,595	1,759	3,354	**168**
Tulare	9,531	10,509	20,040	**1,002**
Tuolumne	1,780	1,963	3,743	**187**
Ventura	12,867	14,187	27,054	**1,353**
Yolo	3,691	4,071	7,762	**388**
Yuba	1,357	1,496	2,853	**143**
Statewide	586,696	646,918	1,233,614	**61,681**

Note: Limitation amounts include amounts already programmed.