

DEPARTMENT OF TRANSPORTATION

TAB 93**M e m o r a n d u m**

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 25, 2014

Reference No.: 2.8a.(1)
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rihui Zhang
Division Chief
Local Assistance

Subject: **REQUEST TO EXTEND THE PERIOD OF PROJECT ALLOCATION FOR
LOCALLY-ADMINISTERED STIP PROJECTS, PER STIP GUIDELINES
WAIVER 14-24**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) consider the period of project allocation for the projects listed on the attached document for the time periods shown.

ISSUE:

The attached document identifies fourteen projects totaling \$10,144,000 that are programmed in the State Transportation Improvement Program (STIP) for delivery in Fiscal Year 2013-14. The agencies will not be able to request an allocation of funds by the June 30, 2014 deadline. The attachment shows the details of the projects and the explanation for the delays. The project sponsors are requesting extensions, and the regional planning agencies concur.

BACKGROUND:

Current STIP Guidelines, Resolution G-13-07, stipulate that funds that are programmed for all components of local grant projects or for Department construction costs are available for allocation only until the end of the fiscal year identified in the STIP. The Commission may approve a waiver to the timely use of funds deadline one time only for up to 20 months in accordance with Section 14529.8 of the Government Code.

Attachment

**Time Extension/Waiver – Project Allocation Deadline
Local Streets and Roads Projects**

Project #	Applicant County PPNO Project Description Reason for Project Delay	Extension Amount (\$ in thousands) PA&ED (Project Approval & Environment Document) PS&E (Plans, Specifications & Estimates) ROW (Right of Way) CON (Construction) TOTAL	Number of Months Requested Extended Deadline CT Recommendation
1	City of Eureka Humboldt County PPNO: 01-0302F Eureka Waterfront Trail Phase C, TE Project	\$0 \$140 \$0 \$0 \$140	4 Months 10/31/2014 Neutral
<p>The City of Eureka (City) is requesting a four-month extension to the period of allocation for the Plans, Specifications and Estimate phase of the Eureka Waterfront Trail Phase C project. The City experienced delays in completing the Project Approval and Environmental Document (PA&ED).</p> <p>The City experienced a delay to the project due to the requirement under Natural Environmental Study (NES), which requires a survey of the special status plants in the area. These plants cannot be surveyed until the next flowering season, which typically begins in June. Once the plant study is completed, the NES will be submitted to the Department for review. The City expects to complete the PA&ED phase by October 2014. Therefore, the City is requesting a four-month extension to October 31, 2014.</p>			
2	County of Mendocino Mendocino County PPNO: 01-4517 Branscomb Road Bridge, TE Project	\$0 \$30 \$0 \$0 \$30	12 Months 6/30/2015 Neutral
<p>The County of Mendocino (County) is requesting a 12-month extension to the period of allocation for the Plans, Specifications & Estimate phase of the Branscomb Road Bridge Project. The County has experienced delays to the completion of the Project Approval and Environmental Document phase.</p> <p>The County experienced a delay to the project due to the expanded environmental studies required due to special species found within the project area. In addition, the Federal Emergency Management Agency is requiring a letter of map adjustment and an extra water displacement studies be performed since the project is located in a floodplain. The County is using an engineering consultant firm to perform the work and the contract must be amended with each additional service required. The extension period will allow for the additional studies and the Departmental review. Therefore, the County is requesting a 12-month extension to June 30, 2015.</p>			
3	City of Ukiah Mendocino County PPNO: 01-4563 Ukiah Downtown Streetscape	\$0 \$99 \$0 \$0 \$99	18 Months 12/31/2015 Neutral
<p>The City of Ukiah (City) is requesting an 18-month extension to the period of allocation for the Plans, Specifications & Estimates (PS&E) phase of the Ukiah Downtown Streetscape project. The City experienced delays to the completion of the NEPA and CEQA documentation to complete the Project Approval and Environmental Document (PA&ED) phase.</p> <p>The City experienced a delay to the CEQA document due to the evolving scope of the project. This project is part of a multi-phase plan Ukiah Downtown Streetscape Improvement (Plan). The Plan requires more funding beyond this grant, which the City has been pursuing and obtaining. The City has expended great effort to cover all phases of the Plan and not to violate the CEQA process by “piece-mealing” the analysis. This project will also undergo NEPA documentation, which requires the historical structures within the Plan limits to be evaluated. The City has obtained Highway Safety Improvement Program funding and a second round of STIP funding that totals approximately \$2.4 million, which will cover construction only. The initial funding covered the environmental process and encompassed the entire plan limits. Therefore, the City is requesting an 18-month extension to December 31, 2015.</p>			

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4	County of Trinity Trinity County PPNO: 02-2464 Hayfork Creek Bridge 5C-086 on Wildwood Road	\$0 \$0 \$0 \$287 \$287	9 Months 3/31/2015 Neutral
<p>The County of Trinity (County) is requesting a nine-month extension to the period of allocation for the construction phase of the Hayfork Creek Bridge 5C-086 on Wildwood Road project. The County experienced delays due to construction funding issues.</p> <p>The County attempted to move construction programming to Fiscal Year 2013-2014. Unfortunately, the request was received after the September 2013 deadline and was too late to program in Fiscal Year 2013-14. The request for the federal construction funds for this project will be included in the 2015 FTIP, which starts in September 2014 and ends with adoption of 2015 FTIP in December 2014. The County anticipates requesting an allocation of federal funds at the January 2015 Commission meeting. To allow for the any unforeseen issues, the County is requesting an additional two months. Therefore, the County is requesting a nine-month extension to March 31, 2015.</p>			
5	City of Willows Glenn County PPNO: 03-1315 Sacramento Street Reconstruction	\$0 \$0 \$0 \$661 \$661	12 Months 6/30/2015 Neutral
<p>The City of Willows (City) is requesting a 12-month extension to the period of allocation for the construction phase of the Sacramento Street Reconstruction project. The City experienced delays due to an unexpected utility relocation.</p> <p>The City discovered late in the design phase that the utility lines are located shallower than indicated on the as-built drawings. The utility lines need to be relocated or modified. The City did not anticipate additional work to evaluate options, the potential relocation of utilities, and securing any Right of Way. The City anticipates completion of this work to take up to 12 months. Therefore, the City is requesting an extension to June 30, 2015.</p>			
6	City of Willows Glenn County PPNO: 03-1316 Butte Street South Reconstruction	\$0 \$0 \$0 \$276 \$276	12 Months 6/30/2015 Neutral
<p>The City of Willows (City) is requesting a 12-month extension to the period of allocation for the construction phase of the Butte Street South Reconstruction project. The City experienced delays due to an unexpected utility relocation.</p> <p>The City discovered late in the design phase that the utility lines are located shallower than indicated on the as-built drawings. The utility lines need to be relocated or modified. The City did not anticipate additional work to evaluate options, the potential relocation of utilities, and securing any Right of Way. The City anticipates completion of this work to take up to 12 months. Therefore, the City is requesting an extension to June 30, 2015.</p>			

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7	County of Colusa Colusa County PPNO:03-3123 Arbuckle Rail Depot Restoration TE Project	\$0 \$0 \$0 \$266 \$266	20 Months 2/29/2016 Neutral
<p>The County of Colusa (County) is requesting a 20-month extension to the period of allocation for the construction (CON) phase of the Arbuckle Rail Depot Restoration project. The County experienced delays to the completion of the Project Approval and Environmental Document (PA&ED) phase.</p> <p>The Depot is currently in evaluation to determine eligibility for listing in the National Register of Historic Places and/or the California Register of Historical Resources. The process is taking considerably longer than anticipated. The Depot will most likely qualify for the Historical listing requiring a Historic Property Report and Section 4(f) evaluation. Both actions will add considerable time to the environmental clearance phase that the County did not anticipate. The County expects to complete the CEQA and NEPA documents in March 2015 and will be ready to advertise by July 2015. To allow for any unforeseen issues, the County is requesting an additional seven months. Therefore, the County is requesting an extension to February 29, 2016.</p>			
8	County of Butte Butte County PPNO: 03-3124H Neal Road and Cohasset Road Bike, TE Project	\$0 \$0 \$10 \$0 \$10	20 Months 2/29/2016 Neutral
<p>The County of Butte (County) is requesting a 20-month extension to the period of allocation for the Right of Way (R/W) phase of the Neil Road and Cohasset Road Bike project. The County experienced delays to the project due to the environmental issues.</p> <p>The County received a 14-month time extension in June 2013 for the Plans Specifications and Estimate phase of the project. The County realized savings to the R/W phase due to the deletion of anticipated construction easements. In addition, the County discovered that after final design the project will require additional earthwork beyond what was originally planned. The County will need to transfer the unused R/W funding to the construction phase. The County is requesting an extension to facilitate the transfer of funds to coincide with the construction allocation request programmed in Fiscal Year 2015-16. The County anticipates requesting the construction allocation and being ready to advertise by February 2016. Therefore, the County is requesting a 20-month extension to February 29, 2016.</p>			
9	Bay Area Rapid Transit District Alameda County, Various PPNO: 04-2127L Bicycle Lockers at Capitol Corridor Stations	\$0 \$0 \$0 \$556 \$556	12 Months 6/30/2015 Neutral
<p>Bay Area Rapid Transit District (BART) is requesting a 12-month extension to the period of allocation for the construction phase of the Bike Lockers at Capitol Corridor Stations project.</p> <p>BART experienced delays in completing the Project Approval & Environmental Document phase due to coordination efforts and a change in the project sponsor. The project benefits seven different counties and the logistics and coordination effort involved was greater than anticipated. The extra effort delayed the project by approximately six months. The project also underwent a change to the project sponsor. The Department/Capitol Corridor originally sponsored the project until the Transportation Improvement Program (TIP) adoption schedule could accommodate the change to the new sponsor, BART. The TIP adoption delayed the project by approximately six months. Therefore, BART is requesting a 12-month extension to June 30, 2015.</p>			

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10	City of Pismo Beach County of San Luis Obispo PPNO:05-2302 Shell Beach Road Multiuse Path Phase I	\$0 \$0 \$0 \$600 \$600	20 Months 2/29/2016 Neutral
<p>The City of Pismo Beach (City) is requesting a 20-month extension to the period of allocation for the construction phase of the Shell Beach Road Multi-use Phase I project. The City experienced delays in completing the Project Approval and Environmental Document, and Plans, Specifications and Estimate phases.</p> <p>The project is situated in a Public Utility Commission underground utility area. The relocation of utilities in these areas requires additional effort and coordination. The utilities in the project limits are owned by Pacific Gas and Electric, American Telephone and Telegraph and Charter Cable. The coordination between the three independent utility companies and the unique challenges involving underground utilities have added a considerable time delay to the project. This effort is beyond the City's control. The utility companies have yet to develop any preliminary relocation plans or estimates. The City has made the utility companies aware of the STIP funding deadlines and all parties have collectively agreed to accelerate their efforts. The utility companies anticipate start utilities relocation in the first quarter of 2016. Therefore, the City is requesting a 20-month extension to February 29, 2016.</p>			
11	City of Capitola Santa Cruz County PPNO: 05-2363 Park Avenue Sidewalk, TE Project	\$0 \$0 \$0 \$200 \$200	11 Months 5/31/2015 Neutral
<p>The City of Capitola (City) is requesting an 11-month extension to the period of allocation for the construction phase of the Park Avenue Sidewalk project. The City experienced delays to the completion of the Project Approval and Environmental Document phase.</p> <p>The City experienced a delay to the project due to funding issues. The City experienced a 25 percent reduction in revenue due to the economic recession resulting in delays to capital improvements. The full local funding for this project was restored in Fiscal Year 2013-14 and the City commenced initial design in September 2013. The environmental certification has been delayed accordingly. The City anticipates CEQA and NEPA clearance by December 2014, completing final design by March 2015, and requesting an Authorization to Proceed with construction in May 2015. Therefore, the City is requesting an 11-month extension to May 31, 2015.</p>			
12	Visalia Tulare County PPNO: 06-6565 Santa Fe Trail Connection Segment 1A, TE Project	\$0 \$0 \$0 \$870 \$870	10 Months 4/30/2015 Neutral
<p>Visalia is requesting a 10-month extension to the period of allocation for the construction phase of the Santa Fe Trail Connection Segment 1A project. Visalia experienced delays due to the completion of the Right of Way (R/W) phase.</p> <p>Visalia's initial effort to acquire the R/W from Rail America halted when Genesee & Wyoming (G&W) began the process of acquiring Rail America's stake in the San Joaquin Valley Railroad system. Visalia did not anticipate the complexity of interacting with property owners within the railroad corridor regarding acquisition negotiations. The transfer of ownership has now been completed, and negotiations are underway with G&W to acquire R/W. Visalia anticipates the R/W acquisition to be completed by April 2015 and construction in the Summer of 2015. Therefore, Visalia is requesting a 10-month extension to April 30, 2015.</p>			

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13	County of Inyo Inyo County PPNO: 09-2517C Eastern Sierra Scenic Byway Tourist Center TE Project	\$0 \$0 \$0 \$650 \$650	16 Months 10/31/2015 Neutral
<p>The County of Inyo (County) is requesting a 16-month extension to the period of allocation for the construction phase of the Eastern Sierra Scenic Byway Tourist Center project. The County experienced delays to the completion of the Project Approval and Environmental Document (PA&ED) and Plans, Specifications and Estimates phases.</p> <p>The County experienced delays due to the discovery of unanticipated cultural resources. During the archeological investigations, significant cultural resources were identified, that triggered additional archeological investigations reports and recovery plans. The County had to submit the findings to the State Historic Preservation Office for review and concurrence caused a delay to complete PA&ED phase by 11 months. Furthermore, prior to completing the archeological recovery plan, the Los Angeles Department of Water Power (LADWP) required the County to obtain a letter of permission to enter their property. Due to the review and approval process for these issues, this effort delayed the project approximately five months. Therefore, the County is requesting a 16-month extension to October 31, 2015.</p>			
14	County of San Joaquin County of San Joaquin PPNO: 10-3K59 McHenry Avenue Improvements Project	\$0 \$0 \$0 \$5,499 \$5,499	20 Months 2/29/2016 Neutral
<p>The County of San Joaquin (County) is requesting a 20-month extension to the period of allocation for the construction phase of the McHenry Avenue Improvements Project.</p> <p>The County experienced delays in completing the R/W phase due to delays in acquisition, permitting and utility relocation efforts. The project required permits from four regulatory agencies. The permit with the longest lead time was from the California Valley Flood Protection Board. The County anticipated to obtain the permit within 17 months. Utility relocation efforts were anticipated to be completed within 18 months, which was when the County would be able to perform appraisal reviews for the seven required parcels involving five different property owners. The permit process and utility relocation are being done concurrently, and the review and acquisition process is expected to take approximately 20 months to complete. Therefore, the County is requesting a 20-month extension to February 29, 2016.</p>			