

TAB 20
REPLACEMENT ITEM
October 8, 2014
Reference No.: 3.9b.
Information Item



Fourth Quarter FY 2013-14 State Route 99 (SR99) Bond Program Report

Quarterly Report to the California Transportation Commission



(1) SR99 Bond Program Summary

Fourth Quarter FY 2013-14

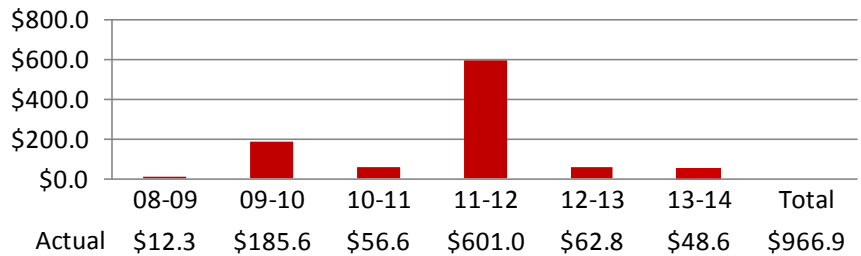
(1a) SR99 Bond Program Funding

SR99 bond program funds available for projects allocated to date: **#Projects** 27 **Project Allocated Funds** \$967 million **% Allocated** 99%

In the SR99 bond program budget, \$785 million was allocated for construction. In addition, \$182 million has been allocated for other funded project components including right of way and engineering support costs. There is also \$20 million set aside for bond administrative costs. There is currently an uncommitted balance of \$13 million.

Additional projects are planned for the uncommitted balance, and will be programmed and added to the program as they are delivered.

SR99 Program Allocations by FY (millions)



SR99 bond program project funds expended to date: **Project Expenditures** \$545 million **Percent Expended** 55%

In the SR99 bond program's \$1,000 million dollar budget, \$967 million has been allocated to projects from SR99 bond program funds. In addition, \$387 million has been committed from other contributor funds to increase the total value of projects in the SR99 bond program to \$1,354 million. The table below shows how SR99 bond program funds and contributor funds were distributed by project components to complete funding for all projects in the SR99 bond program. Included are expenditures to date for SR99 bond program funds.

SR99 Bond Program Funding and Contributor Funds by Component (millions)

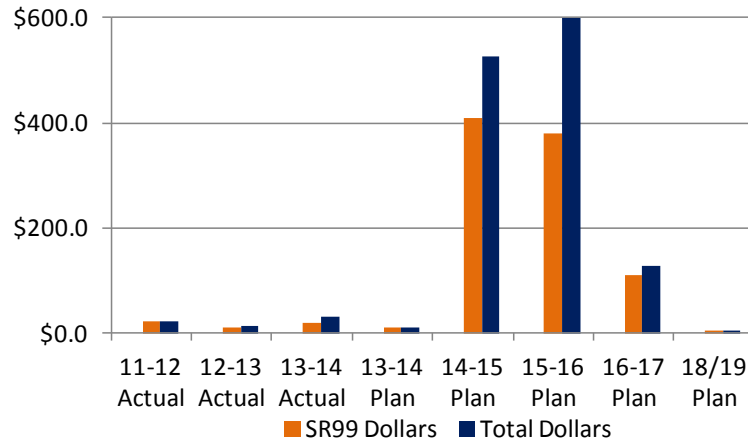
	Total Funds	Other Funds	SR99 Bond Program Funds		
			Allocated	Expended	Percent
Construction					
Support	\$ 119.4	\$ 12.3	\$ 107.1	\$ 69.3	65 %
Capital	\$ 897.4	\$ 112.7	\$ 784.7	\$ 429.3	53 %
Right of Way					
Support	\$ 19.5	\$ 8.2	\$ 11.3	\$ 6.4	57 %
Capital	\$ 184.4	\$ 133.2	\$ 51.2	\$ 28.6	56 %
Preliminary Engineering					
Support	\$ 133.7	\$ 121.0	\$ 12.7	\$ 8.6	68 %
Committed Subtotal	\$ 1,354.4	\$ 387.4	\$ 967.0	\$ 542.2	56 %
Uncommitted			\$ 13.0		
Percent uncommitted			1.3%		
Bond Administration			\$ 20.0	\$ 3.1	16 %
Program Total			\$ 1,000.0	\$ 545.3	55 %

(1b) SR99 Bond Program Project Completions

SR99 bond program construction contracts completed to date: 5 **# Projects Completed** 19% **Percent Projects Completed**

To date, a total of 23 corridor projects have received SR99 bond program funds. Some corridor projects were constructed in stages, resulting in a total of 28 construction contracts being administered to complete the SR99 bond program.

SR99 Bond Program Construction Contracts by Fiscal Year of Completion (millions)



SR99 Bond Program Completions – Projects and Dollars (millions)

	Contracts Accepted				In Plant Establishment			Contracts Under Construction			All SR99 Bond Program Contracts		
	#	Total Funds	SR99 Funds	# FDR's	#	Total Funds	SR99 Funds	#	Total Funds	SR99 Funds	#	Total Funds	SR99 Funds
FY 11-12	1	\$ 23	\$ 23	1							1	\$ 23	\$ 23
FY 12-13	3	\$ 15	\$ 11	2							2	\$ 15	\$ 11
FY 13-14	1	\$ 32	\$ 19					1	\$ 11	\$ 10	2	\$ 44	\$ 29
FY 14-15								11	\$ 525	\$ 410	11	\$ 525	\$ 410
FY 15-16								8	\$ 615	\$ 379	8	\$ 615	\$ 379
FY 16-17								2	\$ 127	\$ 110	2	\$ 127	\$ 110
FY 18-19								1	\$ 5	\$ 5	1	\$ 5	\$ 5
Total Value	5	\$ 71	\$ 52	3	0	\$ 0	\$ 0	23	\$1,283	\$ 914	28	\$1,354	\$ 967

The status of final delivery reports (FDR) to be completed within six months after construction contracts are accepted is outlined in the table above.

(2) SR99 Action Plans

Fourth Quarter FY 2013-14

(2a) Major Project Issues

The following projects have major issues that may result in action plans at a later date to adjust schedule or the project budget.

No major project issues to report this quarter.

(2b) Action Plans

Island Park 6-Lane
Project #2, Segment 2

The bond funded PSE and RW Support budgets have exceeded the budget. Options are being evaluated to rectify charges.

Riego Road
Project #10

Riego Road - Project EAC is forecast to exceed the construction support budget (SR99 funds). Alternatives are being evaluated to reduce the forecasted cost by reducing construction staff) and to locate other funding sources to cover the potential overrun, including local funds through Sutter County. Further discussion is needed with all funding stakeholders to finalize the action plan.

(3) State Route 99 Program Current Status Report

(3a) State Route 99 Project Delivery Report

Fourth Quarter FY 2013-14

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	TOTAL PROJECT COST (\$1,000's)	SR99 PROJECT COST (\$1,000's) LST QTR	PROJECT DESCRIPTION	ALLOCATION	AWARD	% COMPLETE	APPVD CCA	CURR CCA	CCA % COMPLETE	FINAL PDR	APPVD CLOSEOUT	CURR CLOSEOUT	SUPP PDR
						Milestone Behind Schedule		<input checked="" type="checkbox"/> Project Delivery Report Complete	PE Plant Establishment	100			Milestone Complete			
1	03	But	99	\$ 37,859	\$ 20,592	Butte SR 99 Chico Auxilliary Lanes - Phase II	1/20/11	7/8/11	100	10/15/13	9/1/14	88	10/15/15	10/15/15		
Island Park 6-Lane - Corridor Project																
2	06	Fre Mad	99	\$ 23,212	\$ 23,212	Corridor Project #1 (EA 44261)	1/13/10	8/10/10	100	9/1/12	2/3/12	100	<input checked="" type="checkbox"/>			
				\$ 68,213	\$ 68,213	Corridor Project #1 (EA 44262)	4/26/12	10/10/12	100	7/1/16	7/1/16	63				
				\$ 91,425	\$ 91,425	Corridor Summary				7/1/16	7/1/16			7/1/18	7/1/18	
3	06	Mad	99	\$ 84,202	\$ 49,802	Reconstruct Interchange at Avenue 12	6/27/12	12/7/12	100	11/1/15	9/1/16	39	8/1/17	7/1/18		
4	10	Mer	99	\$ 127,652	\$ 91,319	Arboleda Road Freeway	12/15/11	4/6/12	100	4/1/15	5/2/15	76	5/1/16	7/2/16		
5	10	Mer	99	\$ 76,611	\$ 65,869	Freeway Upgrade & Plainsburg Road I/C	2/23/12	7/12/12	100	7/1/15	6/20/15	53	8/1/16	7/20/16		
6	03	Sac	99	\$ 7,446	\$ 5,806	Add Aux Lane Calvine to North of Mack Rd on 99	2/25/10	6/23/10	100	10/1/12	2/15/13	100	10/1/14	10/15/14		
7	03	Sac	99	\$ 32,470	\$ 18,529	SR 99/Elverta Rd. Interchange	2/23/12	5/28/12	100	2/1/14	12/1/13	100	7/1/14	3/11/16		
8	10	SJ	99	\$ 214,458	\$ 132,256	SR 99 (South Stockton) Widening	6/27/12	10/16/12	100	6/1/16	3/5/16	36	2/1/17	12/5/17		
SR 99 Widening in Manteca and San Joaquin - Corridor Project																
9	10	SJ	99	\$ 3,600	\$ -	Corridor PAED (EA 0E610)										
				\$ 42,100	\$ 36,644	Corridor Project #2 (EA 0E611)	12/15/11	3/27/12	100	7/1/14	7/1/14	85				
				\$ 46,450	\$ 40,753	Corridor Project #2 (EA 0E612)	1/25/12	6/27/12	100	10/1/14	3/1/15	44				
				\$ 63,730	\$ 12,143	Corridor Project #3 (EA 0E613)	6/27/12	10/11/12	100	10/1/15	10/1/14	47				
				\$ 155,880	\$ 89,540	Corridor Summary				10/1/15	10/1/15			7/1/17	7/1/16	
10	03	Sut	99	\$ 31,082	\$ 19,264	SR 99 / Riego Road Interchange	3/29/12	10/1/12	100	1/15/15	1/15/15	75	1/1/17	1/15/17		

PROJECT NUMBER	DISTRICT	COUNTY	ROUTE	TOTAL PROJECT COST (\$1,000's)	SR99 PROJECT COST (\$1,000's) LST QTR	PROJECT DESCRIPTION	ALLOCATION	AWARD	% COMPLETE	APPVD CCA	CURR CCA	CCA % COMPLETE	FINAL PDR	APPVD CLOSEOUT	CURR CLOSEOUT	SUPP PDR
11	03	Sut	99	\$ 56,725	\$ 53,211	Sutter 99 Segment 2	1/13/10	7/14/10	100	12/1/15	12/1/15	95		12/1/17	12/1/17	
Los Molinos - Staged Construction Project																
12	02	Teh	99	\$ 6,986	\$ 4,705	Stage #1	1/13/10	5/5/10	100	12/31/12	4/20/11	100				
						Stage #2	1/25/12	5/31/12	100	12/31/12	5/15/13	100				
				\$ 588	\$ -	Enhancements										
				\$ 7,574	\$ 4,705	Corridor Summary				12/31/12	5/15/13	100	<input checked="" type="checkbox"/>	12/31/13	11/14/14	
Goshen to Kingsburg 6-Lane - Corridor Project																
13	06	Tul	99	\$ 101,315	\$ 86,545	Goshen to Kingsburg 6-Lane	5/20/10	1/4/11	100	8/1/14	8/1/14	76				
				\$ 4,944	\$ 4,944	Landscape Mitigation	6/27/12	10/1/12	100	8/1/19	8/1/18	59				
				\$ 106,259	\$ 91,489	Corridor Summary				8/1/19	8/1/18			5/1/21	10/1/20	
SR 99 projects amended into program using project cost/award savings																
14	03	Sut	99	\$ 18,233	\$ 16,333	SR 99/113 Interchange	6/27/12	10/16/12	100	12/1/14	12/1/14	90		12/1/16	12/2/16	
15	06	Tul	99	\$ 51,107	\$ 45,327	Tulare to Goshen 6 Ln	6/27/12	12/7/12	100	7/1/15	8/1/15	44		12/31/17	12/31/17	
16	06	Ker	99	\$ 29,372	\$ 26,622	South Bakersfield Widening	6/27/12	10/24/12	100	11/15/14	9/1/14	92		11/15/16	3/1/16	
17	10	Sta	99	\$ 42,849	\$ 33,401	Kiernan IC	6/27/12	11/27/12	100	9/1/15	9/1/15	31		2/1/16	11/30/17	
18	06	Ker	99	\$ 11,428	\$ 10,228	North Bakersfield Widening	10/24/12	2/21/12	100	12/1/13	3/1/14	77		12/1/15	3/1/16	
19	10	Mer	99	\$ 65,880	\$ 46,521	Merced Atwater Expwy Ph 1A	3/5/13	6/12/13	100	2/1/16	2/1/16	50		12/1/16	7/1/18	
20	03	Sac	99	\$ 8,981	\$ 5,000	Elk Grove Blvd SR99 IC	3/5/13	5/1/13	100	7/1/14	9/1/14	95		12/1/14	12/1/15	
21	03	Sac	99	\$ 1,930	\$ 1,108	Elkhorn Blvd IC	5/7/13	7/1/13	100	12/1/13	7/31/14	75		12/1/14	5/1/16	
22	10	Sta	99	\$ 59,001	\$ 41,630	Pelandale Ave IC	10/8/13	2/25/14	100	12/1/16	12/1/16	7		12/1/18	12/1/18	
23	06	Tul	99	\$ 36,050	\$ 7,000	Cartmill Interchange	1/29/14	6/3/14	100	3/1/15	3/1/15			7/1/15	7/1/15	
Total Cost				\$ 1,354,474	\$ 966,977											

(3b) State Route 99 Bond Program Project Expenditure Report

Fourth Quarter FY 2013-14														
Project					Construction (1,000's)									
					Support			Capital						
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp		Appd	Exp				
Within Budget Conditions G Estimated cost < or = budget Post Vote STIP costs; No CTC action required S Estimated cost STIP funds > 120% budget B Estimated cost BOND funds > 100% budget O Estimated cost LOCAL funds > 100% budget					Budget Changed in Construction C Budget Supplemented / Reduced by Coop Agmt Known cost overrun conditions P Actual cost STIP funds > 100% budget B Actual cost BOND funds > 100% budget L Actual cost LOCAL funds > 100% budget									
1	03	But	99	Butte SR 99 Chico Auxilliary Lanes - Phase II	Caltrans	\$ 4,394	\$ 4,531	P	\$ 26,800	\$ 23,480	G			
<i>Island Park 6-Lane - Corridor Project</i>														
2	06	Fre	99	Corridor Project #1 (EA 44261)	Caltrans	\$ 3,500	\$ 3,313		\$ 17,270	\$ 16,914				
				Corridor Project #2 (EA 44262)	Caltrans	\$ 7,500	\$ 4,893		\$ 47,613	\$ 30,816				
				Corridor Summary		\$ 11,000	\$ 8,205	G	\$ 64,883	\$ 47,730	G			
3	06	Mad	99	Reconstruct Interchange at Avenue 12	Caltrans	\$ 6,800	\$ 3,715	G	\$ 49,402	\$ 21,066	G			
4	10	Mer	99	Arboleda Road Freeway	Caltrans	\$ 12,000	\$ 6,551	G	\$ 78,360	\$ 57,322	G			
5	10	Mer	99	Freeway Upgrade & Plainsburg Road I/C	Caltrans	\$ 8,300	\$ 4,332	G	\$ 53,098	\$ 27,222	G			
6	03	Sac	99	Add Aux Lane Calvine to North of Mack Rd on 99	Caltrans	\$ 750	\$ 744	G	\$ 5,506	\$ 5,099	G			
7	03	Sac	99	SR 99/Elverta Rd. Interchange	Sac Co	\$ -	\$ -	G	\$ 25,270	\$ 23,582	C			
8	10	SJ	99	SR 99 (South Stockton) Widening	Caltrans	\$ 15,500	\$ 6,842	G	\$ 113,958	\$ 36,197	G			
<i>Manteca Widening - Corridor Project</i>														
9	10	SJ	99	Corridor PAED PHASE (EA 0E610)										
				Corridor Project #1 (EA 0E611)	Caltrans	\$ 5,000	\$ 4,060		\$ 31,644	\$ 22,577				
				Corridor Project #2 (EA 0E612)	Caltrans	\$ 7,000	\$ 3,723		\$ 31,543	\$ 13,072				
				Corridor Project #3 (EA 0E613)	Caltrans	\$ 7,500	\$ 3,345		\$ 29,481	\$ 13,668				
				Corridor Summary		\$ 19,500	\$ 11,128	G	\$ 92,668	\$ 49,318	G			
10	03	Sut	99	SR 99 / Riego Road Interchange	Caltrans	\$ 3,500	\$ 2,986	G	\$ 20,062	\$ 12,893	G			
11	03	Sut	99	Sutter 99 Segment 2	Caltrans	\$ 8,500	\$ 7,948	G	\$ 43,731	\$ 38,186	G			
12	02	Teh	99	Los Molinos (Stage 1&2)	Caltrans	\$ 848	\$ 268	G	\$ 4,723	\$ 2,821	G			
<i>Goshen to Kingsburg 6-Ln - Corridor Project</i>														
13	06	Tul	99	Goshen to Kingsburg 6-Ln	Caltrans	\$ 13,000	\$ 11,583	G	\$ 75,863	\$ 60,936	G			
				Landscape Mitigation	Caltrans	\$ 700	\$ 507	G	\$ 3,752	\$ 1,963	G			
				Corridor Summary		\$ 13,700	\$ 12,090	G	\$ 79,615	\$ 62,899	G			

Project				Construction (1,000's)							
				Support			Capital				
#	D	CO	RTE	PROJECT DESCRIPTION	Agency	Appd	Exp	Appd	Exp		
SR 99 projects amended into program using project cost/award savings											
14	3	Sut	99	SR 99/113 Interchange	Caltrans	\$ 2,500	\$ 1,727	G	\$ 13,833	\$ 11,375	G
15	6	Tul	99	Tulare to Goshen 6 Ln	Caltrans	\$ 6,600	\$ 3,820	G	\$ 38,727	\$ 14,749	G
16	6	Ker	99	South Bakersfield Widening	Caltrans	\$ 3,800	\$ 2,924	G	\$ 22,822	\$ 19,767	G
17	10	Sta	99	Kiernan IC	Sta Cty	\$ -	\$ -	G	\$ 33,401	\$ 14,456	G
18	6	Ker	99	North Bakersfield Widening	Caltrans	\$ 1,700	\$ 934	G	\$ 8,528	\$ 6,558	G
19	10	Mer	99	Merced Atwater Expwy Ph 1A	MCAG	\$ -	\$ -	G	\$ 46,521	\$ 3,861	G
20	3	Sac	99	Elk Grove Blvd SR99 IC	Elk Grove	\$ -	\$ -	G	\$ 6,896	\$ 4,756	G
21	3	Sac	99	Elkhorn Blvd IC	Sacramento	\$ -	\$ -	G	\$ 1,330	\$ 355	G
22	10	Sta	99	Pelandate Avenue IC	Modesto	\$ -	\$ -	G	\$ 41,630	\$ 446	G
23	6	Tul	99	Cartmill Interchange	Tulare Cty	\$ -	\$ -	G	\$ 28,181	\$ -	G