

Memorandum

Tab 36

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: December 10, 2014

Reference No.: 3.13
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Subject: **PROPOSITION 1A QUARTERLY REPORT**

The attached package includes the California Department of Transportation's quarterly report for the Proposition 1A High-Speed Passenger Train Bond Program. This report has been discussed with the California Transportation Commission's staff.

The Proposition 1A Fiscal Year 2014-15 First Quarter Report includes project information for the following sub-programs:

- ❖ Intercity Rail Formula Program
- ❖ Intercity Rail Competitive Program
- ❖ Urban and Commuter Rail Program

Attachment



FY 2014-15 1st Quarter Report High-Speed Passenger Train Bond Program

Quarterly Report to the
**California Transportation
Commission**



High-Speed Passenger Train Bond Program Progress Report

SUMMARY:

In 2008, voters approved the Proposition 1A Safe, Reliable High-Speed Passenger Train Bond Act for the 21st Century. Under appropriation by the Legislature, the California Transportation Commission (Commission) is required to allocate funds for capital improvements to the intercity rail lines, commuter rail lines and urban rail systems that provide direct connectivity to the high-speed train system and its facilities. As set forth in the Streets and Highways Code Section 2704.095, the Commission was required to program and allocate the net proceeds received from the sale of \$950 million in bonds authorized under Proposition 1A for the High-Speed Passenger Train Bond Program (Proposition 1A). The Proposition 1A program is identified under two sub-programs: the Intercity Rail Program and the Urban and Commuter Rail Program.

This report covers the first quarter of the State Fiscal Year 2014-15 for the Proposition 1A. There are 16 projects with a total value of \$805.650 million in Proposition 1A funds that have been approved for funding by the Commission for this program. This report contains a summary of 16 projects (see Tables 1-3). Currently, there are 14 projects in Construction, 1 project in both Design and Construction phase and 1 project in Project Approval and Environmental Documentation phase.

INTERCITY RAIL FORMULA PROGRAM:

Under the Intercity Rail Formula Program, the Commission was required to program in each of the intercity rail corridors a minimum of \$47.5 million in eligible projects. The California Department of Transportation (Caltrans), in coordination with the public agencies and the passenger rail operators on the intercity rail lines, shall present to the Commission the list of projects for the formula portion up to the minimum allowed per corridor. The Commission reviewed the list of projects that were eligible under the formula program and adopted those projects that met the requirements.

The following is the status of the formula program projects. See Table 1 (attached) for specific project information.

Project No. 1

Positive Train Control, Moorpark to San Onofre (Pacific Surfliner): The implementing agency is the Southern California Regional Rail Authority who has received \$46.550 million for Construction phase. Project consists of implementing all aspects of positive train control technology along the Pacific Surfliner Corridor between Moorpark and San Onofre. All

Proposition 1A Intercity Rail appropriated funding has been allocated and the project is currently on schedule with no delays.

Project No. 2

San Joaquin Corridor, Positive Train Control: Implementing agency is Caltrans who has received \$9.8 million for Construction phase. Project included construction, purchase and installation of links between key transmission stations and multiple control points, including signal bungalows, along the Burlington Northern Santa Fe Railway (BNSF) right-of-way on the main line between Milepost 888 and Milepost 1134. In addition, BNSF purchased and installed positive train control equipment in locomotives designated for California. Project was completed in January 2013 and has been closed-out.

INTERCITY RAIL COMPETITIVE PROGRAM:

Under the Intercity Rail Competitive Program, the Commission was required to program up to an additional \$47.5 million in projects to any of the three intercity rail corridors. Caltrans, in coordination with the public agencies and the passenger rail operators on the intercity rail lines, were required to select projects within each of the three corridors for the remaining twenty-five percent and present them to the Commission for approval. The Commission gave priority to those projects selected in the following order:

- Projects that provided direct connectivity to the high-speed train system.
- Projects that were eligible for or had committed federal funds.
- Projects that promoted increased ridership, increased on-time-performance and decreased running times.

The following is the status of the competitive program projects. See Table 2 (attached) for specific project information.

Project No. 3

Positive Train Control, San Onofre to San Diego: Implementing agency is the North San Diego County Transit District which has received \$24.010 million for Construction phase. Project consists of implementing all aspects of positive train control technology along the Pacific Surfliner Corridor between San Onofre and San Diego. All Proposition 1A Intercity Rail appropriated funding has been allocated and the project is currently on schedule with no delays.

Project No. 4

Positive Train Control, Los Angeles to Fullerton Triple Track: Implementing agency is Caltrans which has received \$2.940 million for Construction phase. Project includes the

installation of positive train control components, the scope of which includes, but is not limited to, the installation of links between key transmission stations and control points along the BNSF Railway Company right-of-way; the installation of signal bungalows; and the installation of critical locomotive and cab car on-board equipment. Work remaining includes installation of fiber optics for the positive train control, which will coincide with construction of Segment 8 of the Triple Track project. Anticipated project completion by June 2015.

Project No. 5

San Joaquin Corridor, Merced to Le Grand Segment 1: Implementing agency is Caltrans which has received \$40.750 million for Construction phase. Project consists of capital improvements to the Merced to LeGrand Double Track, Segment 1, between Milepost 1041.99 and Milepost 1050.4. Capital improvements include construction of 8.41 miles of track; modification and upgrade to signal and track components (including at 5 public at-grade road crossings); and engineering/civil work. Progress to date includes utility (fiber line) relocation and protection. Construction material scheduled for delivery within the next month.

URBAN AND COMMUTER RAIL PROGRAM:

Under this program, \$760 million was divided among ten eligible recipients using a formula distribution that incorporated track miles, vehicle miles and passenger trips. The funding share totals identified for each eligible agency shall be determined using the distribution factors gathered from the most current available data in the National Transit Database, Federal Transit Administration. The Commission accepted from each eligible agency their priority list of projects up to their targeted amounts. Each project had to meet the criteria set forth in Section 2704.095 (c) through (j) of the Streets and Highway Code. The Commission took the following factors under consideration:

- Gave priority to those projects that provide direct connectivity to the high-speed train system.
- Required that the matching funds used by the eligible agencies were non-state funds. Non-state funds were defined as local, private and federal funds, as well as those State funds not under the Commission's purview.

The following is a brief status of projects for the urban and commuter rail program. See Table 3 (attached) for specific project information.

Project No. 6

Sacramento Intermodal Facility High-Speed: Implementing agency is the Sacramento Regional Transit District (SacRT) which has received \$1.752 million for Project Approval and Environmental Documentation phase. Project consists of improvements to the existing regional transit facility and surrounding components to provide connectivity to high-speed rail. An additional \$23.471 million remains programmed for future use on this project. SacRT is currently working on the environmental document and has reported no delays.

Project No. 7

Caltrain Advanced Signal System (CBOSS/PTC): Implementing agency is the Peninsula Corridor Joint Powers Board which has received \$105.445 million for both Design and Construction phase. The project consists of installing positive train control technology along the Caltrain corridor. All Proposition 1A appropriated funding has been allocated and the project is on schedule with no anticipated delays.

Project No. 8

Central Subway: Implementing agency is the San Francisco Municipal Transportation Agency which has received \$61.308 million for Construction phase. The project consists of construction of 5.2 mile extension of T-Third light rail from the Caltrain terminus area to south of Union Square and Chinatown. All Proposition 1A appropriated funding has been allocated and the project is on schedule and on budget with no anticipated delays to report.

Project No. 9

Milbrae Station Track Improvement and Car Purchase: The implementing agency is the San Francisco Bay Area Rapid Transit District (BART) which has received \$140 million for Construction phase. The project consists of purchasing 46 new rail cars and lengthens all three of BART's rail storage tracks immediately south of the Mibrae station. All Proposition 1A appropriated funding has been allocated and the project is on schedule. Cars have been ordered.

Project No. 10

Metrolink Positive Train Control: The implementing agency is the Southern California Regional Rail Authority who has received \$35 million for Construction phase. The project consists of installing predictive collision avoidance technology throughout the Metrolink system. All Proposition 1A appropriated funding has been allocated and the project is on schedule with completion anticipated by June 2015.

Project No. 11

Regional Connector Transit Corridor: Implementing agency is the Los Angeles County Metropolitan Transportation Authority which has received \$114.874 million for Construction phase. The project consists of construction of a two-mile extension that will connect the Metro light rail system to high speed rail through downtown including construction of three new underground light rail stations. All Proposition 1A appropriated funding has been allocated and the project is on schedule and within budget.

Project No. 12

Metrolink High-Speed Rail Readiness Program: The implementing agency is the Southern California Regional Rail Authority which has received \$68.5 million for Construction phase. The project consists of acquisition of 20 high powered Tier 4 locomotives. In additional \$20.207 million remains programmed and will be used for the Locomotive Rehabilitation project. Current project is on schedule with no anticipated delays.

Project No. 13

Stockton Passenger Track Extension: The implementing agency is the San Joaquin Regional Rail Commission which had previously received \$10.974 million for Construction phase. The project consists of construction of 2.57 mile extension, dedicated passenger rail track north of downtown Stockton interlocking between the Union Pacific and the Burlington Northern/Santa Fe Railroad. The agency has reported that they will not be able to meet the contract award deadline and has since requested that the remaining non-awarded balance be de-allocated. The Commission approved the de-allocation of \$10.579 million at the October agenda meeting. A re-allocation request will be submitted at a future date.

Project No. 14

Blue Line Light Rail Improvements: The implementing agency is the San Diego Association of Governments which has received \$57.855 million for Construction phase. The project consists of improvements to existing infrastructure on the Blue Line Trolley including replacing worn out rails and tracks; replace/rehabilitate switches and signaling and reconstruction of existing platforms to accommodate low-floor vehicles. All Proposition 1A appropriated funding has been allocated and the project is on schedule with no anticipated delays.

Project No. 15

North San Diego County Transit District, Positive Train Control: Implementing agency is the North County Transit District which has received \$17.833 million for Construction phase. The project consists of implementing all aspects of positive train control technology along the LOSSAN rail corridor. All Proposition 1A appropriated funding has been allocated and the project is currently on schedule with no anticipated delays.

Project No. 16

Maintenance Shop and Yard Improvements: Implementing agency is the BART which has received \$78.639 million for Construction phase. Project consists of expanding existing main shop to support back shop double-ended operation, construct new component repair shop including, retrofitting the maintenance and engineering storage yard with sound walls along the east test track, including track work and retaining walls connecting the Hayward

Maintenance Complex to the Main Line tracks. All Proposition 1A appropriated funds have been allocated and the project is pending award of the 3rd party contract.

LETTERS OF NO PREJUDICE:

The Letters of No Prejudice (LONP) Guidelines were approved in September 2010 under Resolution LONP1A-G-1011-01. There were 3 projects that were approved for a LONP; all 3 of these projects have since been funded.

BACKGROUND:

On November 4, 2008, the voters approved the Safe, Reliable High-Speed Passenger Train Bond Act for the 21st Century, authorized by the Commission upon appropriation by the Legislature to allocate funds for the capital improvements to intercity, commuter, and urban rail lines that provide direct connectivity to the high-speed train system and its facilities, or that are part of the construction of the high-speed train system.

CURRENT STATUS:

This report includes several attachments that provide detailed information on project status. Please note that the "Project Numbers" in these lists are for clarification in this report and are only for reference to indicate the number of projects in this report. These "Project Numbers" are subject to change in subsequent reports as projects are added and deleted. Currently there are 16 projects shown in the tables in this report.

Table 1

Intercity Rail Formula Program																	
Project No.	CO	Agency	Project Name	END PA&ED	END PS&E	END R/W	END CON	Funding Phase	% of Phase Completed	Programmed Amount (millions)	Funding Allocated (millions)	Prop 1A Expenditures (millions)	Allocation Date	Contract Award Date	Scope	Budget	Schedule
1	Various	SCRRA	Positive Train Control, Moorpark to San Onofre				Dec-15	CON	83%	\$46,550	\$46,550	\$21,309	Jan-11	Oct-10	▲	▲	▲
TOTAL OPEN PROJECTS:										\$46,550	\$46,550	\$21,309					
CLOSED PROJECTS																	
Project No.	CO	Agency	Project Name	END PA&ED	END PS&E	END R/W	END CON	Funding Phase	% of Phase Completed	Programmed Amount (millions)	Funding Allocated (millions)	Prop 1A Expenditures (millions)	Allocation Date	Contract Award Date			
2	SJ	DRMT	San Joaquin Corridor, Positive Train Control				Jan-13	CON	100%	\$9,800	\$9,800	\$9,800	Oct-11	June-12	▲	▲	▲
TOTAL CLOSED PROJECTS:										\$9,800	\$9,800	\$9,800					

LEGEND:

- ▲ Project is on-time, on-budget, and /or within scope
- Allocation request is late or construction start date has been delayed
- ◆ Schedule or cost is changing, pending review and acceptance

Table 3

Urban and Commuter Rail Program																	
Project No.	CO	Agency	Project Name	END PA&ED	END PS&E	END R/W	END CON	Funding Phase	% of Phase Completed	Programmed Amount (millions)	Funding Allocated (millions)	Prop 1A Expenditures (millions)	Allocation Date	Contract Award Date	Scope	Budget	Schedule
6	SAC	SacRT	Sacramento Intermodal Facility High-Speed	June -16				PA&ED	3.50%	\$25,223	\$1,752	\$0	Oct-13	N/A	▲	▲	▲
7	Various	PCJPB	Caltrain Advanced Signal System (CBOSS/PTC)		June -15		Aug-16	PS&E/CON	49.0%	\$105,445	\$105,445	\$21,821	May-13	Aug-13	▲	▲	▲
8	SF	MUNI	Central Subway				Oct-15	CON	36.62%	\$61,308	\$61,308	\$61,308	Sept-12	Oct-12	▲	▲	▲
9	SF	BART	Milbrae Station Track Improvements and Car Purchase				Jan-17	CON	15.0%	\$140,000	\$140,000	\$64,181	Oct-13	Jan-14	▲	▲	▲
10	Various	SCRRA	Metrolink Positive Train Control				June-15	CON	81.0%	\$35,000	\$35,000	\$21,753	Aug-11	Oct-10	▲	▲	▲
11	LA	LACMTA	Regional Connector Transit Corridor				May-17	CON	9.40%	\$114,874	\$114,874	\$0	May-13	May-14	▲	▲	▲
12	Various	SCRRA	Metrolink High-Speed Rail Readiness Program				May-17	CON	35.0%	\$68,500	\$68,500	\$6,821	Aug-12	May-13	▲	▲	▲
13	SJ	SJRRRC	Stockton Passenger Track Extension				Pending	CON	3.0%	\$10,974	\$394	\$0	Oct-12	Pending	▲	▲	◆
14	SD	SANDAG	Blue Line Light Rail Improvements				May-16	CON	36.0%	\$57,855	\$57,855	\$51,369	Aug-12	May-13	▲	▲	▲
15	SD	NCTD	Positive Train Control				Dec-15	CON	70%	\$17,833	\$17,833	\$10,580	Jan-11	Aug-11	▲	▲	▲
16	ALA	BART	Maintenance Shop & Yard Improvements				Apr-18	CON	0%	\$78,639	\$78,639	\$0	Oct-14	Pending	▲	▲	▲
TOTAL OPEN PROJECTS:										\$715,651	\$681,600	\$237,833					

LEGEND:

- ▲ Project is on-time, on-budget, and /or within scope
- Allocation request is late or construction start date has been delayed
- ◆ Schedule or cost is changing, pending review and acceptance