

# Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: December 9-10, 2015

Reference No.: 3.10  
Information Item

From: NORMA ORTEGA  
Chief Financial Officer

Prepared by: Rihui Zhang, Chief  
Division of Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING SEPTEMBER 30, 2015**

## **SUMMARY:**

As of September 30, 2015, about \$952 million, or 57 percent, of the \$1.7 billion allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2015 has been sub-allocated to 1,265 local projects. The majority of the sub-allocations (approximately \$665 million) are for 784 projects in the following three categories:

- Regional Surface Transportation Program (RSTP) – 227 projects, \$297 million
- National Highway Performance Program (NHPP) & RSTP Bridge – 341 projects, \$239 million
- Congestion Mitigation & Air Quality Program – 216 projects, \$129 million

The remaining \$287 million was sub-allocated for 481 projects in other categories (as referenced with an asterisk on the attachment).

## **BACKGROUND:**

The California Department of Transportation's (Department) Division of Local Assistance (DLA) administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

The category of "Highway Safety Improvement Program (HSIP)" is over allocated by 16 percent. This was due to High Risk Rural Road Program (an HSIP sub-program) delivering more projects than anticipated.

The Department delivered 100 percent of federal formula funding and received an additional \$61.5 million in August Redistribution for FFY 2015.

Attachment

**LOCAL ASSISTANCE LUMP SUM ALLOCATIONS**  
**Period Ending September 30, 2015**  
(Dollars in Thousands)

Reference No.: 3.10  
December 9-10, 2015  
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
<b>Local Administered &amp; Miscellaneous Programs</b>											
Regional Surface Transportation Program (RSTP) <sup>1</sup>		559,108	559,108		297,305	297,305		261,803	261,803	53%	227
Surface Transportation Program State Match and Exchange	57,849		57,849	56,485		56,485 *	1,364		1,364	98%	138
Congestion Mitigation & Air Quality Program <sup>3</sup>		473,109	473,109		129,109	129,109		344,000	344,000	27%	216
Freeway Service Patrol	25,479		25,479	16,216		16,216 *	9,263		9,263	64%	12
High Priority Projects/Demonstration Projects/Emergency Relief		226,999	226,999		108,377	108,377 *		118,622	118,622	48%	96
Miscellaneous	3,250		3,250	1,537		1,537 *	1,713	-	1,713	47%	6
<b>Bridge Programs</b>											
Bridge Inspection	735		735	211		211 *	524	0	524	29%	1
National Highway Performance Program (NHPP) & RSTP Bridge <sup>2</sup>		244,081	244,081		239,386	239,386		4,695	4,695	98%	341
<b>Rail Programs</b>											
Railroad Grade Crossing Maintenance	2,000		2,000	2,000		2,000 *	-	-	-	100%	1
Railroad Grade Separation	15,000		15,000	15,000		15,000 *	-	-	-	100%	5
<b>Safety Programs</b>											
Highway Safety Improvement Program		73,999	73,999		86,057	86,057 *	0	(12,058)	(12,058)	116%	222
<b>Total Local Assistance Subvention Funds</b>	<b>104,313</b>	<b>1,577,296</b>	<b>1,681,609</b>	<b>91,449</b>	<b>860,234</b>	<b>951,683</b>	<b>12,864</b>	<b>717,062</b>	<b>729,926</b>	<b>57%</b>	<b>1,265</b>

**Notes**

Allocations for federal and state funds reflect the May 2015 Commission meeting vote, Item 2.5h, Resolution FM-14-02.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations are from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

**Assumptions:**

\* Indicates programs that were not discussed in Reference 3.10.

<sup>1</sup> RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.

<sup>2</sup> NHPP consists of on-system bridges (about \$221 million) while RSTP bridge projects consist of off-system bridge (about \$75 million).