

## Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: May 28, 2015

Reference No.: 2.8d.(2)  
Action Item

From: NORMA ORTEGA  
Chief Financial Officer

Prepared by: Rihui Zhang, Chief  
Division of Local Assistance

Subject: **REQUEST TO EXTEND THE PERIOD OF PROJECT DEVELOPMENT EXPENDITURES FOR LOCALLY-ADMINISTERED STIP PROJECTS, PER STIP GUIDELINES WAIVER 15-25**

### **RECOMMENDATION:**

The California Department of Transportation recommends the California Transportation Commission (Commission) approve extensions to the period of project development expenditure for the time periods identified for each project on the attached document.

### **ISSUE:**

The attached list identifies six locally-administered State Transportation Improvement Program (STIP) projects totaling \$1,696,000. The Commission allocated the funds in Fiscal Year 2012-13 for project development, as shown on the attachment. The attachment shows the details of the projects and the explanation for the delays.

### **BACKGROUND:**

Current STIP Guidelines, Resolution G-13-07, stipulate that funds allocated for local project development or right of way costs must be expended by the end of the second fiscal year following the fiscal year in which the funds were allocated. The Commission may approve a waiver to the timely use of funds deadline one time only for up to 20 months in accordance with Section 14529.8 of the Government Code.

Attachment

**Time Extension/Waiver – Project Development Expenditure Deadline  
Local Streets and Roads Projects**

<b>Project #</b>	<b>Applicant County PPNO Project Description Reason for Project Delay</b>	<b>Phase Allocation Amount Balance Remaining</b>	<b>Allocation Date Allocation Resolution Number Number of Months Requested Extended Deadline CT Recommendation</b>
1	County of Lake County of Lake PPNO: 01-3032R South Main Street Rehabilitation project	Plans, Specifications & Estimates Allocated: \$500,000 Balance: \$500,000	03/05/2013 FP-12-41 20 Months 02/28/2017 Support
<p>The County of Lake (County) is requesting a 20-month extension to the period of project development for the Plans, Specifications &amp; Estimates (PS&amp;E) phase of the South Main Street Rehabilitation project. The County has experienced unforeseen delays in the procurement of the Architecture Engineering (A&amp;E) consultant for the final Design, Right of Way, and pre-construction environmental efforts.</p> <p>The County completed the negotiations and sent the pre-award audit request package for the proposed A&amp;E contract amendment for the PS&amp;E work to the Department's Division of Audits and Investigations (A&amp;I). However, the contract could not be executed due to contract deficiencies. A&amp;I sent a corrective action letter to the County and they began work on the Request for Proposal (RFP) for the PS&amp;E phase work. The County adjusted the A&amp;E contract to include Right of Way due to the loss of the certified Right of Way agent. The Department approved the Public Interest Finding in October 2014 allowing the County to conduct negotiations with the A&amp;E consultant. The County submitted the pre-award audit request letter in December 2014 and the County Board of Supervisors executed the A&amp;E consultant agreement in February 2015. Therefore, the County is requesting a 20-month extension from June 30, 2015 to February 28, 2017.</p>			
2	County of Lake County of Lake PPNO: 01-3032R South Main Street Rehabilitation project	Right of Way Allocated: \$364,000 Balance: \$364,000	03/05/2013 FP-12-41 20 Months 02/28/2017 Support
<p>The County of Lake (County) is requesting a 20-month extension to the period of project development for the Right of Way phase of the South Main Street Rehabilitation project. The County has experienced unforeseen delays in the procurement of the Architecture Engineering (A&amp;E) consultant for the final Design, Right of Way, and pre-construction environmental efforts.</p> <p>The County completed the negotiations and sent the pre-award audit request package for the proposed A&amp;E contract amendment for the PS&amp;E work to the Department's Division of Audits and Investigations (A&amp;I). However, the contract could not be executed due to contract deficiencies. A&amp;I sent a corrective action letter to the County and they began work on the Request for Proposal (RFP) for the PS&amp;E phase work. The County adjusted the A&amp;E contract to include Right of Way due to the loss of the certified Right of Way agent. The Department approved the Public Interest Finding in October 2014 allowing the County to conduct negotiations with the A&amp;E consultant. The County submitted the pre-award audit request letter in December 2014 and the County Board of Supervisors executed the A&amp;E consultant agreement in February 2015. Therefore, the County is requesting a 20-month extension from June 30, 2015 to February 28, 2017.</p>			
3	County of Lake County of Lake PPNO: 01-3033R Soda Bay Road Rehabilitation	Plans, Specifications & Estimates Allocated: \$310,000 Balance: \$310,000	03/05/2013 FP-12-41 20 Months 02/28/2017 Support
<p>The County of Lake (County) is requesting a 20-month extension to the period of project development for the Plans, Specifications &amp; Estimates (PS&amp;E) phase of the South Main Street Rehabilitation project. The County has experienced unforeseen delays in the procurement of the Architecture Engineering (A&amp;E) consultant for the final Design, Right of Way, and pre-construction environmental efforts.</p> <p>The County completed the negotiations and sent the pre-award audit request package for the proposed A&amp;E contract amendment for the PS&amp;E work to the Department's Division of Audits and Investigations (A&amp;I). However, the contract could not be executed due to contract deficiencies. A&amp;I sent a corrective action letter to the County and they began work on the Request for Proposal (RFP) for the PS&amp;E phase work. The County adjusted the A&amp;E contract to include Right of Way due to the loss of the certified Right of Way agent. The Department approved the Public Interest Finding in October 2014 allowing the County to conduct negotiations with the A&amp;E consultant. The County submitted the pre-award audit request letter in December 2014 and the County Board of Supervisors executed the A&amp;E consultant agreement in February 2015. Therefore, the County is requesting a 20-month extension from June 30, 2015 to February 28, 2017.</p>			

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4	County of Lake County of Lake PPNO: 01-3033R Soda Bay Road Rehabilitation	Right of Way Allocated: \$242,000 Balance: \$242,000	03/05/2013 FP-12-41 20 Months 02/28/2017 Support
<p>The County of Lake (County) is requesting a 20-month extension to the period of project development for the Right of Way phase of the South Main Street Rehabilitation project. The County has experienced unforeseen delays in the procurement of the Architecture Engineering (A&amp;E) consultant for the final Design, Right of Way, and pre-construction environmental efforts.</p> <p>The County completed the negotiations and sent the pre-award audit request package for the proposed A&amp;E contract amendment for the PS&amp;E work to the Department's Division of Audits and Investigations (A&amp;I). However, the contract could not be executed due to contract deficiencies. A&amp;I sent a corrective action letter to the County and they began work on the Request for Proposal (RFP) for the PS&amp;E phase work. The County adjusted the A&amp;E contract to include Right of Way due to the loss of the certified Right of Way agent. The Department approved the Public Interest Finding in October 2014 allowing the County to conduct negotiations with the A&amp;E consultant. The County submitted the pre-award audit request letter in December 2014 and the County Board of Supervisors executed the A&amp;E consultant agreement in February 2015. Therefore, the County is requesting a 20-month extension from June 30, 2015 to February 28, 2017.</p>			
5	Trinity County Trinity County PPNO: 02-2421 Wildwood Road Reconstruction, Segment 1 project	Project Approval & Environmental Documents Allocated: \$80,000 Balance: \$53,363	12/07/2012 FP-12-30 6 Months 12/31/2015 Support
<p>Trinity County (County) is requesting a six-month time extension to the period for the Project Approval &amp; Environmental Documents (PA&amp;ED) phase of the Wildwood Road Reconstruction, Segment 1 project. The County has experienced an unforeseen delay during the review of the Biological Assessment (BA) by the National Marine Fisheries Services (NMFS).</p> <p>The County submitted a BA to NMFS in July 2013 addressing the impacts to the Anadromous Coho Salmon with a request for informal consultation. NMFS conducted a field review in September 2013. Due to the unusual and potential precedent setting issues, the Department's management was consulted. In December 2014, the County submitted a revised BA that included additional information. Therefore, the County is requesting a six-month extension to complete the Environmental Studies &amp; Permits of the PA&amp;ED phase of the project from June 30, 2015 to December 31, 2015.</p>			
6	County of San Luis Obispo County of San Luis Obispo PPNO: 05-1843 Templeton – Atascadero Connector	Plans, Specifications & Estimates Allocated: \$200,000 Balance: \$162,697	06/11/2013 FP-12-64 20 Months 02/28/2017 Support
<p>The County of San Luis Obispo (County) is requesting a 20-month time extension to the Plans, Specifications &amp; Estimates (PS&amp;E) phase of the Templeton - Atascadero Connector project. The County has experienced unforeseen delays to final design due to an environmental issue.</p> <p>During the site survey, vernal pools with Fairy shrimp were found in the proposed trail alignment. The Department of Fish and Wildlife must determine whether these Fairy shrimp are an endangered species, which will take one wet and one dry California season to find out. In order to complete the wet survey, three centimeters of rain is needed. However, the wet season of 2015 did not have enough rain to meet this requirement. The dry survey is proposed in the Summer of 2015. Therefore, the County is requesting a 20-month time extension from June 30, 2015 to February 28, 2017 to allow at least two rainy winters to complete the required wet survey to determine whether the Fairy shrimp species is present.</p>			