

## Memorandum

## TAB 22

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 28-29, 2017

Reference No.: 4.11 – **REPLACEMENT ITEM**  
Action Item

From: NORMA ORTEGA  
Chief Financial Officer

Prepared by: Michael Johnson  
State Asset Management  
Engineer

Subject: **FINAL 2017 TEN-YEAR STATE HIGHWAY OPERATION AND PROTECTION PROGRAM PLAN AND RESPONSE TO COMMISSION COMMENTS**

### **ISSUE:**

Should the California Transportation Commission (Commission) accept and approve the response, by the California Department of Transportation (Department), on the comments made on the Ten Year State Highway Operation and Protection Program (SHOPP) Plan?

### **RECOMMENDATION:**

The Department has received comments on the Ten Year SHOPP Plan from the Commission. This book item articulates the Department's response to those comments from the Commission, and the Department recommends the Commission approve its response to those comments.

### **BACKGROUND:**

The Department published a draft of the State Highway System Management Plan (SHSMP) in March of 2017. The SHSMP presented an integrated management plan for the State Highway System (SHS) that encompassed the requirements of the Ten Year SHOPP Plan and Five Year Maintenance Plans as required by the Streets and Highway Code. The Ten Year SHOPP components of the SHSMP were submitted to the Commission in a book item for comment as required by the Streets and Highway Code, and the Commission submitted a letter to the Department on May 26, 2017 articulating 11 comments.

The Department has made modifications to the SHSMP to address 8 of the 11 comments submitted by the Commission. Three of the comments could not be incorporated into the revised SHSMP and the comments that could not be addressed in the revised SHSMP are explained in a point by point fashion as follows:

*Comment #1:* The comment by the Commission requested that the Department "align the listing of asset classes and performance objectives presented in Table 7 (Needs Assessment) with the listing of assets presented by Caltrans in the March 2015 meeting".

#### **Response:**

The Needs Assessment Table 7 included in the SHSMP articulates the needs for 34 different SHOPP objectives. Some of these objectives pertain to physical asset condition such as pavement and bridge condition and others pertain to deficiencies of the system such as storm water mitigation needs and others are simply

reservation levels for undefined future needs such as Major Damage Restoration. The listing of assets included in the March 2015 book item included the 4 primary asset classes and a partial listing of other physical assets as examples of items not contained within the four primary asset classes. Given the partial and incomplete nature of the March 2015 listing, the Department cannot use this as the basis for a presentation of SHOPP needs. Additionally, the Needs Assessment was specifically reorganized to correspond with the Caltrans Strategic Management Plan to provide a strategic relationship between the project objectives and the strategic goals of the department. For these reasons, the Department recommends the organization of objectives remain unchanged in the final version.

*Comment #2:*

The comment by the Commission was to “compare and contrast the condition of pavement and bridges on the State Highway System with the same assets on the local system”.

*Response:*

The Ten Year SHOPP Plan scope, defined by the Streets and Highway Code, is inclusive of the State Highway System only. The comment is requesting the scope of the analysis be expanded to include local assets that are outside of the responsibility of the Department and would require specific condition, cost, funding, deterioration and project pipeline information not available to the Department.

*Comment #3:*

The Commission comment was to “deliver the final plan as either a stand-alone document which clearly addresses each of the statutory requirements or as an identified chapter within the State Highway System Management Plan”.

*Response:*

The Department has communicated to the Legislature that the 2017 SHSMP, satisfying the Streets and Highway Code requirements, would be submitted by the end of June to permit the Department time to incorporate a revised Investment Plan and Performance Outcomes following the passage of Senate Bill 1. Other than specific suggested comments by the Commission, there has not been any substantial change to the Needs Assessment portion of the SHSMP. The Department will transmit a final copy of the SHSMP to the Commission and communicate the changes made outside of the sections of the plan reviewed by the Commission.

The Department has also made modifications to the SHSMP in response to the passage of Senate Bill 1. These modifications did not impact the above 11 comments submitted by the Commission or the Department’s response to the 11 comments on the Final 2017 Ten-Year SHOPP Plan.