

## **Summary of CTC 2002 STIP Workshop Sacramento, February 7, 2002**

Commissioners in attendance were Dianne McKenna (Chair), Bob Balgenorth, Allen Lawrence, and Kirk Lindsey.

Chair Dianne McKenna opened with introductions and a summary of ground rules for the workshop discussion. She noted that the Commission is committed to adopting a 2002 STIP that conforms to the Fund Estimate on April 4, 2002, and that the purpose of the workshop was to develop criteria by which that can be accomplished. The respreading of projects across fiscal years will be based on projects now proposed. It will not incorporate new projects. SB 45's "use it or lose it" provisions will remain in effect. Projects programmed for delivery in FY 01-02 will not be reprogrammed.

David Brewer of CTC Staff gave an overview of programming issues, principally the discrepancy between the fiscal year spread of the adopted Fund Estimate and the collective fiscal year spread of the RTIPs and the ITIP. While 90% of new capacity is in the last 3 years of the new STIP, over 60% of the proposals were in the first two years. Over 50% is in the last year, FY 2006-07. David distributed two handouts:

- 2002 STIP Proposal vs. Capacity, by Fiscal Year, Component, and Project Type (a spreadsheet), with STIP Projects, Proposals and Capacity, by Fiscal Year (a bar graph).
- Summary of Remaining 2000 STIP Projects (9-page spreadsheet).

The ITIP and most RTIPs did not identify delays in 2000 STIP projects, and that when such delays are identified, the spread of available capacity for 2002 STIP projects will change, opening up a little more capacity in earlier years. About 88% of the remaining 2000 STIP projects are Caltrans projects.

The Commission is asking both Caltrans and regions to (1) identify remaining projects with delivery delays (without regard to funding), and (2) make recommendations for priority in further respreading projects. Caltrans and regions should clearly distinguish between the two, since they will be treated differently. The Commission intends to identify the delays first, before any further respreading. The Commission will then respread projects as necessary to conform to the Fund Estimate, taking regional recommendations into account as best it can. The Commission will respread projects in any case, with or without the benefit of recommendations.

Chair McKenna identified several general principles for the respreading:

- Planning, programming, and monitoring (PPM) is to be programmed in the year(s) proposed, if possible.
- RSTP/CMAQ/TEA matches are to be programmed in the year(s) proposed, if possible.
- Transportation demand management (TDM) is to be programmed in the year(s) proposed, if possible.

- Cost increases for projects already programmed for construction in the 2000 STIP are to be programmed in the 2002 STIP for the year(s) required.

Projects from the 2000 STIP generally will not be respread. They are guaranteed to hold their place in the STIP. However, the Commission will consider regional proposals to trade out old project for new ones. That is, a region may propose to have the Commission treat one of its remaining 2000 STIP projects as if it were a new RTIP proposal, in return for having a new RTIP project be given the preference of a 2000 STIP project. That would assure a new project being in FY 02-03 or FY 03-04, but it could mean that the project traded out would go to FY 06-07. Once a 2000 STIP project is traded out in this way, it will have no preference over 2002 RTIP proposals in the respreading. The amount traded out cannot exceed the amount traded in.

There was discussion of several post-STIP options for making project changes. The Commission anticipates that many regions will want to propose further changes in projects after the initial respreading and the April adoption. The Commission plans to include such changes in a comprehensive STIP amendment to be noticed in June and adopted in July.

Other options to be considered after the STIP adoption include: making allocations where delivery is in advance of programming, as a review of cash capacity allows; approval of AB 3090 arrangements, whereby a project is advanced with local funds, with reimbursement through the STIP in a later year; and the use of GARVEE or other bonding authority.

The timetable for the remaining process:

- On or before February 25, 2002: Caltrans and regions to notify CTC of projects that will not meet currently programmed delivery schedules.
- On or before February 25, 2002: Caltrans and regions to give CTC their recommendations, if any, for the respreading of projects in the 2002 STIP cycle, including any proposed trades of 2000 STIP projects for 2002 STIP proposals.
- March 13, 2002: CTC Staff Recommendations for the 2002 STIP due to be published.
- April 4, 2002: 2002 STIP adoption by CTC.
- June 13, 2002: STIP amendment notice for substitutions, corrections, and technical changes to 2002 STIP.
- July 18, 2002: Adoption of STIP amendment for substitutions, corrections, and technical changes.