

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: October 19-20, 2016

Reference No.: 4.22
Action

From: SUSAN BRANSEN
Executive Director

Subject: **REVISED 2017 ACTIVE TRANSPORTATION PROGRAM FUND ESTIMATE**

ISSUE:

The California Department of Transportation (Caltrans) prepared the Revised 2017 Active Transportation Program Fund Estimate, presented under this agenda item. The fund estimate presented by Caltrans incorporates \$10 million appropriated from the Greenhouse Gas Reduction Fund by Assembly Bill (AB) 1613 and an \$8 million increase in federal funding provided in the Fixing America's Surface Transportation (FAST) Act. The \$10 million is available in Fiscal Year (FY) 2016-17 and the \$8 million is available in FY 2016-17 through 2018-19.

Staff has prepared the below tables to indicate how these funds will be incorporated into programming levels for use in the 2017 Active Transportation Program and recommended allocation levels in the next several years. The tables reflect additional programming capacity in FY 2016-17 through FY 2018-19, however the 2017 Active Transportation Program call for projects solicited projects for funding in FY 2019-20 and 2020-21. Therefore advancements are proposed in order to utilize the funding in the FY the funds are available.

Should the California Transportation Commission (Commission) approve the additional capacity for the 2017 Active Transportation Program in FY 2019-20 and furthermore require that such funds be made available to projects that can be advanced for allocation in FY 2016-17 through 2018-19?

RECOMMENDATION:

Table 1, below, shows the revised 2017 program capacity of the three portions of Active Transportation Program as compared with the capacity identified for programming in the 2017 and 2015 fund estimates. The change represents the additional capacity identified in the Revised 2017 Active Transportation Program Fund Estimate. The funds cannot be immediately incorporate into the 2017 Active Transportation Program because the 2017 Active Transportation Program call for projects solicited projects for funding in FY 2019-20 and 2020-21 and the additional funding is available in FY 2016-17 through 2018-19.

Table 1 – Revised Fund Estimate based on FY Availability						
	2016-17	2017-18	2018-19	2019-20	2020-21	Total
Revised 2017 Fund Estimate						
Statewide	65,753	61,390	61,390	61,390	61,390	311,313
MPO	52,602	49,117	49,117	49,117	49,117	249,070
Small Urban & Rural	13,151	12,273	12,273	12,273	12,273	62,243
Total	131,506	122,780	122,780	122,780	122,780	622,626
2017 Fund Estimate						
Statewide				61,390	61,390	122,780
MPO				49,117	49,117	98,234
Small Urban & Rural				12,273	12,273	24,546
Total				122,780	122,780	245,560
2015 Fund Estimate						
Statewide	59,850	59,850	59,850			179,550
MPO	47,880	47,880	47,880			143,640
Small Urban & Rural	11,970	11,970	11,970			35,910
Total	119,700	119,700	119,700			359,100
Change (Additional Capacity)						
Statewide	5,903	1,540	1,540	0	0	8,983
MPO	4,722	1,237	1,237	0	0	7,196
Small Urban & Rural	1,181	303	303	0	0	1,787
Total	11,806	3,080	3,080	0	0	17,966

Note: Individual numbers may not add to total due to independent rounding. All dollars are in thousand.

Table 2, below, shows the updated program capacity for the 2017 Active Transportation Program for the three portions of the program, and the Metropolitan Planning Organization (MPO) distribution of the MPO selected portion of the program.

Staff recommends that the additional capacity be added to the program capacity in the 2017 Active Transportation Program in FY 2019-20, and that these funds be made available to projects that can be advanced for allocation in FY 2016-17 through 2018-19. Due to unique statutory requirements, the \$10 million appropriated from the Greenhouse Gas Reduction Fund must be addressed in guidelines specific to that funding.

Table 2 - Updated 2017 Program Capacity						
	2016-17	2017-18	2018-19	2019-20	2020-21	Total
Statewide				70,373	61,390	131,763
MPO Administered				56,313	49,117	105,430
Small Urban & Rural				14,060	12,273	26,333
Total				140,746	122,780	263,526
Updated 2017 Program Capacity (MPO Administered)						
MTC				11,842	10,329	22,171
SACOG				3,756	3,276	7,031
SCAG				29,962	26,134	56,096
FCOG				1,534	1,338	2,872
Kern COG				1,381	1,205	2,586
SANDAG				5,140	4,483	9,623
SJCOG				1,125	981	2,105
StanCOG				848	740	1,588
TCAG				724	632	1,356
Total				56,312	49,116	105,428

Table 3, below, shows the \$8 million capacity to advance projects for allocation in FY 2016-17 through 2018-19 for the MPO selected portion of the program. The table does not include the \$10 million appropriated from the Greenhouse Gas Reduction Fund, as this funding will be addressed in new guidelines.

Table 3 – FAST Act Amounts Available for Advancing Projects						
	2016-17	2017-18	2018-19	2019-20	2020-21	Total
Statewide	903	1,540	1,540			3,983
MPO Administered	722	1,237	1,237			3,196
Small Urban & Rural	181	303	303			787
Total	1,743	3,080	3,080	0	0	7,966
Amount Available for Advancing Projects (MPO Administered)						
MTC	152	260	260			672
SACOG	48	82	82			213
SCAG	384	658	658			1,700
FCOG	20	34	34			87
Kern COG	18	30	30			78
SANDAG	66	113	113			292
SJCOG	14	25	25			64
StanCOG	11	19	19			48
TCAG	9	16	16			41
Total	722	1,237	1,237	0	0	3,196

BACKGROUND:

On May 18, 2016, the Commission adopted the 2017 Active Transportation Program Fund Estimate which identified a program of capacity of \$122.78 million per year in 2019-20 and 2020-21. On September 14, 2016, the Governor signed AB 1613 which appropriated \$10 million from the Greenhouse Gas Reduction Fund for the Active Transportation Program. Pursuant to AB 1613, funds from the Greenhouse Gas Reduction Fund must be allocated by the Commission no later than June 30, 2018 and liquidated by June 30, 2020.

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 19-20, 2016

Reference No.: 4.22
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Steven Keck, Chief
Division of Budgets

Subject: **REVISED 2017 ACTIVE TRANSPORTATION PROGRAM FUND ESTIMATE
RESOLUTION G-16-30, AMENDING RESOLUTION G-16-17**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the proposed Revised 2017 Active Transportation Program (ATP) Fund Estimate.

ISSUE:

On May 18, 2016, the Commission adopted the 2017 ATP Fund Estimate. Subsequently, unexpected resources have been made available for the ATP in Fiscal Year (FY) 2016-17. On September 14, 2016, Governor Brown approved Assembly Bill (AB) 1613, which included a one-time appropriation of \$10 million from the Greenhouse Gas Reduction Fund; provisions include a requirement that these funds be allocated by the Commission by June 30, 2018, and encumbered and liquidated by June 30, 2020.

The adopted 2017 ATP Fund Estimate does not include the \$10 million appropriation, which will increase FY 2016-17 resources to approximately \$131.5 million. The Department consulted with Commission staff regarding the additional resources, and incorporated the FY 2016-17 adjustment into the proposed Revised 2017 ATP Fund Estimate for consideration by the Commission at the October 19-20, 2016 meeting.

BACKGROUND:

The ATP, as articulated in Senate Bill 99 and AB 101, was signed into law on September 26, 2013. It replaced the existing system of small, dedicated grant programs, which funded Safe Routes to Schools, bicycle programs, and Recreational Trails. The ATP divides resources for active transportation projects between the state and regions, subject to the adopted 2017 guidelines. The intent of combining this funding is to improve flexibility and reduce the administrative burden of having several small independent grant programs.

RESOLUTION G-16-30, AMENDING RESOLUTION G-16-17:

- 1.1. WHEREAS, the Active Transportation Program (ATP) was created by Senate Bill 99 (Chapter 359, Statutes of 2013) to encourage increased use of active modes of transportation, such as biking and walking; and
- 1.2. WHEREAS, on May 18, 2016, the Department presented to the Commission a proposed 2017 ATP Fund Estimate; and
- 1.3. WHEREAS, on May 18, 2016, the Commission resolved to adopt the 2017 ATP Fund Estimate per Resolution G-16-17; and
- 1.4. WHEREAS, on September 14, 2016, the Governor approved Assembly Bill 1613, which included a one-time appropriation of \$10 million to the ATP from the Greenhouse Gas Reduction Fund; and
- 1.5. WHEREAS, the Department consulted with Commission Staff regarding adjustments to the 2017 ATP Fund Estimate; and
- 1.6. WHEREAS, on October 19-20, 2016, the Department presented to the Commission a Revised 2017 ATP Fund Estimate; and
- 1.7. WHEREAS, the Revised 2017 ATP Fund Estimate identifies program resources in Fiscal Year 2016-17 of approximately \$131.5 million, reflecting the \$10 million increase from the 2017 ATP Fund Estimate adopted on May 18, 2016.
- 2.1. NOW THEREFORE BE IT RESOLVED that the California Transportation Commission does hereby adopt the Revised 2017 ATP Fund Estimate, as presented by the Department on October 19-20, 2016, with programming in the 2017 ATP to be based on the adopted 2017 guidelines and the statutory funding identified.

Attachment

PROPOSED

**ACTIVE TRANSPORTATION PROGRAM (ATP)
REVISED FUND ESTIMATE
(\$ in thousands)**

	2016-17	2017-18	2018-19	2019-20	2020-21	4-Year Total	5-Year Total
RESOURCES							
STATE RESOURCES							
Beginning Balance	\$0						\$0
State Highway Account (SHA)	34,200	34,200	34,200	34,200	34,200	136,800	171,000
Greenhouse Gas Reduction Fund (GGRF) ^[1]	10,000	0	0	0	0	0	10,000
State Resources Subtotal	\$44,200	\$34,200	\$34,200	\$34,200	\$34,200	\$136,800	\$181,000
FEDERAL RESOURCES							
STBG Set-Aside for Transportation Alternatives ^[2]	\$65,455	\$66,730	\$66,730	\$66,730	\$66,730	\$266,920	\$332,375
Recreational Trails	1,900	1,900	1,900	1,900	1,900	7,600	9,500
Other Federal	19,950	19,950	19,950	19,950	19,950	79,800	99,750
Federal Resources Subtotal	\$87,305	\$88,580	\$88,580	\$88,580	\$88,580	\$354,320	\$441,625
TOTAL RESOURCES AVAILABLE	\$131,505	\$122,780	\$122,780	\$122,780	\$122,780	\$491,120	\$622,625
DISTRIBUTION							
URBAN REGIONS (MPO Administered)							
State	(\$17,218)	(\$13,221)	(\$13,221)	(\$13,221)	(\$13,221)	(\$52,884)	(\$70,102)
Federal	(35,384)	(35,896)	(35,896)	(35,896)	(35,896)	(143,583)	(178,967)
Urban Regions Subtotal	(\$52,602)	(\$49,117)	(\$49,117)	(\$49,117)	(\$49,117)	(\$196,467)	(\$249,069)
SMALL URBAN & RURAL REGIONS (State Administered)							
State	(\$5,832)	(\$4,829)	(\$4,829)	(\$4,829)	(\$4,829)	(\$19,316)	(\$25,148)
Federal	(7,319)	(7,444)	(7,444)	(7,444)	(7,444)	(29,777)	(37,095)
Small Urban & Rural Regions Subtotal	(\$13,151)	(\$12,273)	(\$12,273)	(\$12,273)	(\$12,273)	(\$49,093)	(\$62,243)
STATEWIDE COMPETITION (State Administered)							
State	(\$21,150)	(\$16,150)	(\$16,150)	(\$16,150)	(\$16,150)	(\$64,600)	(\$85,750)
Federal	(44,603)	(45,240)	(45,240)	(45,240)	(45,240)	(180,960)	(225,562)
Statewide Competition Subtotal	(\$65,753)	(\$61,390)	(\$61,390)	(\$61,390)	(\$61,390)	(\$245,560)	(\$311,312)
TOTAL DISBURSEMENTS	(\$131,505)	(\$122,780)	(\$122,780)	(\$122,780)	(\$122,780)	(\$491,120)	(\$622,625)

^[1] Assembly Bill 1613 provides a one-time appropriation to ATP of \$10 million from the Greenhouse Gas Reduction Fund.

^[2] Surface Transportation Block Grant (STBG) Set-Aside for Transportation Alternatives (TA) was formally the Transportation Alternatives Program (TAP) included in MAP-21.

Note: Individual numbers may not add to total due to independent rounding.

STBG Set-Aside for TA reflects preliminary FHWA estimates pursuant to Fixing America's Surface Transportation (FAST) Act.

Final dollar amounts may vary based on actual apportionment and obligational authority by FHWA or any changes in Federal guidance.

Fiscal Year 2020-21 extends beyond FAST Act authorization, but is assumed to be funded at the same level as in prior years.

ANNUAL DISTRIBUTION IN FISCAL YEAR 2016-17

URBAN REGIONS	FEDERAL STBG	FEDERAL OTHER	STATE (SHA & GGRF)	TOTAL	
MTC Region	\$ 5,401	\$ 1,915	\$ 3,753	\$ 11,068	
SACOG Region	1,514	609	1,398	3,521	
SCAG Region	14,904	4,833	8,204	27,941	
Fresno COG (Fresno UZA)	575	249	616	1,440	
Kern COG (Bakersfield)	460	225	614	1,300	
SANDAG (San Diego UZA)	2,597	829	1,365	4,791	
San Joaquin COG (Stockton)	326	183	552	1,061	
Stanislaus COG (Modesto)	315	138	344	796	
Tulare CAG (Visalia)	193	118	373	684	
Total	\$ 26,284	\$ 9,100	\$ 17,218	\$ 52,602	

Disadvantaged Communities*
\$ 2,767
880
6,985
360
325
1,198
265
199
171
\$ 13,151

Note: Individual numbers may not add to total due to independent rounding.

Final dollar amounts may vary based on actual apportionment and obligational authority by FHWA or any changes in Federal guidance.

* Per Senate Bill 99, guidelines shall include a process to ensure no less than 25 percent of overall program funds benefit disadvantaged communities.

ANNUAL DISTRIBUTION IN FY 2017-18 THROUGH FY 2020-21

URBAN REGIONS	FEDERAL STBG	FEDERAL OTHER	STATE	TOTAL	Disadvantaged Communities*
MTC Region	\$ 5,506	\$ 1,915	\$ 2,908	\$ 10,329	\$ 2,582
SACOG Region	1,544	609	1,123	3,276	819
SCAG Region	15,194	4,833	6,106	26,134	6,533
Fresno COG (Fresno UZA)	586	249	503	1,338	334
Kern COG (Bakersfield)	469	225	510	1,205	301
SANDAG (San Diego UZA)	2,648	829	1,006	4,483	1,121
San Joaquin COG (Stockton)	332	183	465	981	245
Stanislaus COG (Modesto)	321	138	281	740	185
Tulare CAG (Visalia)	197	118	317	632	158
Total	\$ 26,796	\$ 9,100	\$ 13,221	\$ 49,117	\$ 12,279



Note: Individual numbers may not add to total due to independent rounding.

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* Per Senate Bill 99, guidelines shall include a process to ensure no less than 25 percent of overall program funds benefit disadvantaged communities.