State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

Memorandum

TAB 14

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 11, 2013

Reference No.: 4.3
Informational Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Steven Keck
Division Chief
Budgets

Subject: **DRAFT 2014 FUND ESTIMATES**

RECOMMENDATION:

The Department of Transportation (Department) requests the California Transportation Commission (Commission) review and comment on the results of the Draft 2014 State Transportation Improvement Program (STIP) Fund Estimate and the Draft 2014 Aeronautics Account Fund Estimate that will be provided at the June 11, 2013, Commission meeting. The Department will work with Commission staff to implement necessary changes prior to the adoption of the Fund Estimates at the Commission's August 6, 2013 meeting.

ISSUE:

The Draft 2014 Fund Estimate (Draft) program capacities will be based on the assumptions approved by the Commission at the May 2013 meeting. After reviewing these Draft results, the Commission may choose to revisit the approved assumptions for possible changes or updates. The Department requests the Commission direct comments and suggestions to the Commission Staff, so the Department may incorporate Commission feedback into the 2014 Fund Estimates. In addition, the Department will continue to work with Commission Staff between now and the August 2013 Commission meeting to update information and make any necessary changes to the Draft 2014 STIP Fund Estimate and the Draft 2014 Aeronautics Account Fund Estimate.

BACKGROUND:

Section 14524(a) of the Government Code (GC) requires the Department to submit the STIP Fund Estimate prior to July 15 of each odd-numbered year. The Department will comply with this requirement and submit the Draft 2014 STIP Fund Estimate 34 days prior to the due date identified in statute. Section 14525(a) of the GC requires the Commission to adopt the STIP Fund Estimate by August 15 of each odd-numbered year. The Department will endeavor to present the 2014 STIP Fund Estimate and the 2014 Aeronautics Account Fund Estimate at the August 6, 2013, Commission meeting for adoption.

Should the need arise, Section 14525(d) of the GC allows the Commission to delay adoption of the Fund Estimate for up to 90 days due to pending legislation that will significantly impact this forecast.

Attachments

DRAFT

DRAFT 2014 STIP FUND ESTIMATE
STATE HIGHWAY AND FEDERAL TRUST FUND ACCOUNTS
($ millions)

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
RESOURCES								
Beginning Balance	$194							$194
Fuel Excise Taxes (Base)	$1,777	$1,781	$1,784	$1,785	$1,783	$1,783	$8,916	$10,693
Fuel Excise Taxes (Price-Based)	2,045	1,952	1,933	1,967	1,998	2,007	9,858	11,903
Net Weight Fees	0	0	0	0	0	0	0	0
Misc. Revenues	80	79	78	79	80	78	393	472
Loan Repayments from General Fund	50	135	100	0	0	0	235	285
Transportation Loans	250	(135)	(100)	0	0	0	(235)	15
Net Transfers - Others	(174)	(163)	(163)	(165)	(166)	(165)	(820)	(994)
Expenditures - Other Agencies	(97)	(94)	(101)	(103)	(102)	(105)	(504)	(602)
Subtotal - State Resources	$4,125	$3,555	$3,532	$3,564	$3,593	$3,598	$17,842	$21,966
Toll Bridge Seismic Retrofit Program	($300)	$0	$0	$0	$0	$0	$0	($300)
Total State Resources	$3,825	$3,555	$3,532	$3,564	$3,593	$3,598	$17,842	$21,666
Obligation Authority (OA)	$3,157	$3,157	$3,157	$3,157	$3,157	$3,157	$15,785	$18,942
August Redistribution	118	$118	$118	$118	$118	$118	592	710
Other Federal Resources	($186)	($186)	($186)	($186)	($186)	($186)	(930)	(1,116)
Total Federal Resources	$3,089	$3,089	$3,089	$3,089	$3,089	$3,089	$15,446	$18,536
TOTAL STATE & FED RESOURCES	**$6,914**	**$6,644**	**$6,621**	**$6,653**	**$6,682**	**$6,687**	**$33,288**	**$40,202**
COMMITMENTS								
STATE OPERATIONS	**($899)**	**($924)**	**($949)**	**($975)**	**($1,001)**	**($1,028)**	**($4,876)**	**($5,776)**
MAINTENANCE	**($1,269)**	**($1,297)**	**($1,325)**	**($1,354)**	**($1,384)**	**($1,415)**	**($6,775)**	**($8,043)**
LOCAL ASSISTANCE (LA)								
Oversight (Partnership)	($122)	($127)	($123)	($120)	($118)	($115)	($603)	($725)
State & Federal LA	(1,258)	(1,249)	(1,246)	(1,247)	(1,245)	(1,244)	(6,233)	(7,490)
TOTAL LA	**($1,380)**	**($1,377)**	**($1,370)**	**($1,367)**	**($1,363)**	**($1,359)**	**($6,836)**	**($8,216)**
SHOPP CAPITAL OUTLAY SUPPORT (COS)								
SHOPP Major	($270)	($210)	($112)	($57)	($36)	($17)	($432)	($701)
SHOPP Minor	(38)	(40)	(40)	(40)	(40)	(40)	(201)	(240)
Stormwater	(46)	(46)	(46)	(46)	(46)	(46)	(230)	(276)
Federal	(299)	(229)	(132)	(68)	(38)	(12)	(480)	(778)
TOTAL SHOPP COS	**($653)**	**($525)**	**($331)**	**($211)**	**($160)**	**($115)**	**($863)**	**($1,217)**
SHOPP CAPITAL OUTLAY								
R/W Project Delivery	($37)	($37)	($37)	($37)	($37)	($37)	($185)	($222)
Unprogrammed R/W	(18)	(18)	(18)	(18)	(18)	(18)	(90)	(108)
GARVEE Debt Service	(11)	(11)	(11)	(11)	(11)	(11)	(57)	(68)
Minor capital	(67)	(78)	(95)	(93)	(93)	(93)	(453)	(520)
Major capital	(1,538)	(151)	(58)	(15)	(6)	0	(229)	(1,767)
TOTAL SHOPP CAPITAL OUTLAY	**($1,671)**	**($295)**	**($219)**	**($174)**	**($165)**	**($159)**	**($1,014)**	**($2,685)**
TOTAL SHOPP COMMITMENTS	**($5,872)**	**($4,418)**	**($4,194)**	**($4,082)**	**($4,073)**	**($4,076)**	**($20,843)**	**$ (26,715)**
STIP LA								
Oversight (Partnership)	($36)	($37)	($36)	($35)	($34)	($33)	($176)	($211)
STIP Off-System	(45)	(48)	(24)	(15)	(12)	(6)	(105)	(149)
TOTAL STIP LA	**($45)**	**($48)**	**($24)**	**($15)**	**($12)**	**($6)**	**($105)**	**($149)**
TOTAL STIP COS	**($126)**	**($97)**	**($99)**	**($72)**	**($39)**	**($17)**	**($324)**	**($451)**
STIP CAPITAL OUTLAY								
R/W Project Delivery	($133)	($111)	($92)	($86)	($82)	($80)	($451)	($584)
Unprogrammed R/W	(11)	(11)	(9)	(9)	(8)	(8)	(45)	(56)
SHA State Capital	(414)	(333)	(166)	(69)	(18)	0	(585)	(999)
GARVEE Debt Service	(73)	(73)	0	0	0	0	(73)	(146)
TE state/local match	(8)	0	0	0	0	0	0	(8)
TOTAL STIP CAPITAL OUTLAY	**($638)**	**($528)**	**($267)**	**($164)**	**($108)**	**($88)**	**($1,154)**	**($1,792)**
TOTAL STIP COMMITMENTS	**($809)**	**($673)**	**($390)**	**($250)**	**($158)**	**($111)**	**($1,583)**	**($2,392)**
TOTAL RESOURCES AVAILABLE	**$233**	**$1,553**	**$2,037**	**$2,321**	**$2,451**	**$2,501**	**$10,863**	**$11,095**
SHOPP TARGET CAPACITY	**$2,000**	**$2,200**	**$2,300**	**$2,300**	**2,300**	**2,300**	**$11,400**	**$13,400**
STIP TARGET CAPACITY	**$550**	**$660**	**$655**	**$655**	**$655**	**$650**	**$3,275**	**$3,825**
STIP TE TARGET CAPACITY	**$8**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$8**

Notes:

Individual numbers may not add to total due to independent rounding.

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DRAFT 2014 STIP FUND ESTIMATE
PUBLIC TRANSPORTATION ACCOUNT
($ in thousands)

	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
RESOURCES								
Beginning Balance	$128,636							$128,636
Sales Tax on Diesel	610,777	601,344	601,753	602,162	602,572	602,982	3,010,813	3,621,590
SMIF Interest Earned	230	191	275	275	275	275	1,291	1,521
Transfer from Aeronautics Account	30	30	30	30	30	30	150	180
Loan Repayment from SHA	0	135,000	0	0	0	0	135,000	135,000
Loan Repayment from TDIF	2,054	0	0	0	0	0	0	2,054
Loan to High-Speed Rail	(26,199)	0	0	0	0	0	0	(26,199)
Transfer from SHA (S&HC 194)	26,304	26,872	27,451	28,044	28,649	29,268	140,284	166,589
TOTAL RESOURCES	**$741,833**	**$763,437**	**$629,509**	**$630,511**	**$631,526**	**$632,555**	**$3,287,538**	**$4,029,371**
State Transit Assistance	(391,972)	(379,779)	(380,040)	(380,298)	(380,557)	(380,816)	(1,901,491)	(2,293,463)
SUBTOTAL AVAILABLE RESOURCES	**$349,861**	**$383,658**	**$249,469**	**$250,213**	**$250,969**	**$251,739**	**$1,386,047**	**$1,735,908**
STATE OPERATIONS								
Rail and Mass Transportation Support	($28,511)	($29,138)	($29,779)	($30,434)	($31,104)	($31,788)	($152,244)	($180,755)
Planning Staff and Support	(21,858)	(22,339)	(22,830)	(23,333)	(23,846)	(24,371)	(116,718)	(138,576)
California Transportation Commission	(1,403)	(1,434)	(1,465)	(1,498)	(1,531)	(1,564)	(7,492)	(8,895)
Institute of Transportation Studies	(980)	(980)	(980)	(980)	(980)	(980)	(4,900)	(5,880)
Public Utilities Commission	(5,434)	(5,554)	(5,676)	(5,801)	(5,928)	(6,059)	(29,017)	(34,451)
State Controller's Office	(19)	(19)	(20)	(20)	(21)	(21)	(101)	(120)
TOTAL STATE OPERATIONS	**($58,205)**	**($59,464)**	**($60,751)**	**($62,066)**	**($63,409)**	**($64,783)**	**($310,472)**	**($368,677)**
INTERCITY RAIL								
Intercity Rail and Bus Operations	($90,347)	($93,057)	($95,849)	($98,725)	($101,686)	($104,737)	($494,055)	($584,402)
Amtrak Funding Adjustment	($18,600)	(31,000)	(24,800)	(24,800)	(24,800)	(24,800)	($130,200)	($148,800)
Blended System Operations	0	0	0	0	(30,700)	(31,621)	(62,321)	(62,321)
Maintenance for Initial Construction Segment	0	0	0	0	(17,800)	(18,334)	(36,134)	(36,134)
New Train Service - Coast Daylight	0	0	(5,000)	(5,150)	(5,305)	(5,464)	(20,918)	(20,918)
Heavy Equipment Overhaul	(16,800)	(16,800)	(16,800)	(16,800)	(17,800)	(17,800)	(86,000)	(102,800)
TOTAL INTERCITY RAIL	**($125,747)**	**($140,857)**	**($142,449)**	**($145,475)**	**($198,091)**	**($202,756)**	**($829,628)**	**($955,375)**
LOCAL ASSISTANCE								
Bay Area Ferry Operations/Waterborne	($3,148)	($3,179)	($3,211)	($3,243)	($3,276)	($3,309)	($16,219)	($19,367)
TOTAL LOCAL ASSISTANCE	**($3,148)**	**($3,179)**	**($3,211)**	**($3,243)**	**($3,276)**	**($3,309)**	**($16,219)**	**($19,367)**
CAPITAL OUTLAY								
STIP - Mass Transportation	($18,734)	($31,241)	($39,208)	($51,159)	($25,501)	($5,471)	($152,580)	($171,314)
STIP - Rail	(13,346)	(28,934)	(24,078)	(21,732)	(13,888)	(2,490)	(91,121)	(104,467)
TOTAL CAPITAL OUTLAY	**($32,081)**	**($60,175)**	**($63,286)**	**($72,891)**	**($39,389)**	**($7,961)**	**($243,701)**	**($275,781)**
CASH AVAILABLE FOR PROGRAMMING	**$130,680**	**$119,982**	**($20,227)**	**($33,462)**	**($53,196)**	**($27,069)**	**($13,972)**	**$116,708**
PTA STIP TARGET CAPACITY	**$25,000**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$25,000**

Note: Individual numbers may not add to total due to independent rounding.

DRAFT

DRAFT 2014 FUND ESTIMATE
AERONAUTICS ACCOUNT
($ in thousands)

	2013-14	2014-15	2015-16	2016-17	3-Year Total
RESOURCES					
Beginning Balance	$8,902	$9,073	$ -	$ -	$9,073
Aviation Gas Excise Tax[1]	2,836	2,744	2,654	2,568	7,966
Jet Fuel Excise Tax[1]	2,618	2,771	2,933	3,105	8,809
Interest (SMIF)	23	23	25	27	75
Federal Trust Funds	436	446	455	465	1,366
Sale of Documents	1	1	1	1	4
Transfer to PTA Account	(30)	(30)	(30)	(30)	(90)
TOTAL RESOURCES	**$14,787**	**$15,028**	**$6,039**	**$6,136**	**$27,203**
STATE OPERATIONS					
State Operations	($3,663)	($3,736)	($3,811)	($3,887)	($11,434)
State Controller (0840)	(8)	(8)	(8)	(9)	(25)
Financial Information System for California (8880)	(3)	(3)	(3)	(3)	(9)
TOTAL STATE OPERATIONS	**($3,674)**	**($3,748)**	**($3,822)**	**($3,899)**	**($11,469)**
LOCAL ASSISTANCE					
Grants to Local Agencies (Annual Credit Program)	($1,490)	($1,490)	($1,490)	($1,490)	($4,470)
Airport Improvement Program (AIP) Match	(550)	(550)	**	**	(550)
Acquisition & Development (A&D)	0	0	**	**	0
TOTAL LOCAL ASSISTANCE	**($2,040)**	**($2,040)**	**($1,490)**	**($1,490)**	**($5,020)**
CASH DURING FE PERIOD	**$9,073**	**$9,240**	**$727**	**$747**	**$10,714**

Note: Individual numbers may not add to total due to independent rounding.

[1] Excise tax revenues are based on the 2013-14 projection from the 2013-14 Governor's Budget and escalated each year from 2013-14 through 2016-17 per Assumption Aero 2.

** AIP Match and A&D for 2015-16 and 2016-17 will be determined when federal budget funding has been approved. Past action by the Commission dictates that AIP Match receives priority for available funds.

Memorandum

Tab 14 Addition

To: CHAIR AND COMMISSIONERS

CTC Meeting: June 11, 2013

Reference No.: 4.3
Information

From: ANDRE BOUTROS
Executive Director

Subject: **DRAFT 2014 STIP FUND ESTIMATE (FE)**

SUMMARY:

The Department is submitting the draft 2014 STIP Fund Estimate (FE) to the Commission at this meeting of June 11, 2013.

The draft FE shows that there is no new programming capacity in the first three years of the STIP period (2014-15 through 2016-17), due to no capacity for the Public Transportation Account (PTA) or Transportation Enhancements (TE). Therefore, total programming targets are only for the last two years of the STIP period (2017-18 and 2018-19).

State law provides that up to 5% of a county share may be expended for planning, programming and monitoring (PPM). This limitation is applied separately to each four-year county share period. Table 3 shows the PPM limits for the STIP period 2016-17 through 2018-19. The PPM limitation is a limit to the amount that can be programmed in any region and is not in addition to amounts already programmed.

No Commission action is required as this is an information item; however, staff recommends that the Commission consider any comments received at the June 2013 meeting, and direct staff to work with the Department to present the 2014 STIP FE for adoption at the August 6, 2013 meeting.

BACKGROUND:

Government Code section 14525(a) requires the Commission to adopt the STIP Fund Estimate by August 15 of each odd-numbered year.

Attachments

2014 STIP Fund Estimate
County and Interregional Share Estimates

The STIP consists of two broad programs, the regional program funded from 75 percent of new STIP funding and the interregional program funded from 25 percent of new STIP funding. The 75 percent regional program is further subdivided by formula into County Shares. County Shares are available solely for projects nominated by regions in their Regional Transportation Improvement Programs (RTIP).

The Draft 2014 STIP Fund Estimate indicates that the STIP is over-programmed by approximately 12% in the early years of the 2014 STIP due primarily to the loss of Transportation Enhancement (TE) funding. Some of this over-programming will likely be resolved through the schedule updates which occur each STIP cycle, and through the deletion of TE projects by regions or Caltrans. However, some projects currently programmed in the STIP may need to be delayed (reprogrammed into a later year).

Existing TE projects may remain in the STIP so long as they are eligible for State Highway Account or Federal funds.

Table 1. Reconciliation to County and Interregional Shares

This table lists the net changes to program capacity from the ***draft*** 2014 STIP FE to the capacity used in the County and Interregional Shares. This table is currently based on the estimated Commission actions through June 30, 2013. The program capacity used in the adopted Fund Estimate will be updated to include final Commission's actions through the June 2013 Commission meeting.

Table 2. County and Interregional Shares – Total Target

This table displays the ***draft*** 2014 STIP targets of the new statewide capacity through 2018-19. As shown in Table 1, new capacity is in the two new years of the Fund Estimate: 2017-18 and 2018-19. Therefore, nearly all new programming added in the 2014 STIP will be in 2017-18 and 2018-19.

Table 3. County and Interregional Shares – Maximum Target

This table displays the ***draft*** 2014 STIP targets of the new statewide capacity through the next County share period, ending in 2019-20. This is the maximum amount that the Commission may program in a county, other than advancing future shares, pursuant to Section 188.8(j) of the S&HC, to a county with a population of under 1 million.

Table 4. Planning, Programming, and Monitoring (PPM) Limitations

- State law and the STIP guidelines provide that up to 5% of a county share may be expended for planning, programming, and monitoring (PPM). This limitation is applied separately to each four-year county share period. This table identifies the ***draft*** county and interregional shares for 2016-17 through 2018-19 (the first three years of the 2016-17 through 2019-20 share period) based upon the 2012 Fund Estimate and the ***draft*** 2014 Fund Estimate. These are the amounts against which the 5% PPM Limitation is applied. The PPM Limitations are limits to the amounts that can be programmed in any region and are not in addition to amounts already programmed.

DRAFT 2014 STIP FUND ESTIMATE

Table 1 - Reconciliation to County and Interregional Shares

($ millions)

Public Transportation Account (PTA)	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
2014 FE PTA Target Capacity	$25	$0	$0	$0	$0	$0	$0	$25
Total 2014 STIP FE PTA Target Capacity	**$25**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$25**
2012 STIP Program [1]	**$68**	**$84**	**$101**	**$97**	**$0**	**$0**	**$282**	**$350**
Extensions	$11	$43	$0	$0	$0	$0	$43	$54
Delivered But Not Allocated	$0	$0	$0	$0	$0	$0	$0	$0
Advances	$0	$0	$0	$0	$0	$0	$0	$0
Net PTA STIP Program	**$79**	**$127**	**$101**	**$97**	**$0**	**$0**	**$325**	**$404**
PTA Capacity for County Shares	**($54)**	**($127)**	**($101)**	**($97)**	**$0**	**$0**	**($325)**	**($379)**
Cumulative	**($54)**	**($181)**	**($282)**	**($379)**	**($379)**	**($379)**		

SHA	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
2014 FE Non-PTA Target Capacity	$642	$744	$666	$666	$666	$661	$3,403	$4,045
2014 FE Non-PTA GARVEE Debt Service	($84)	($84)	($11)	($11)	($11)	($11)	($128)	($212)
TE State Match (Estimated program totals)	($8)	$0	$0	$0	$0	$0	$0	($8)
Total 2014 STIP FE Non-PTA Capacity	**$550**	**$660**	**$655**	**$655**	**$655**	**$650**	**$3,275**	**$3,825**
2012 STIP Program [1]	**$444**	**$516**	**$572**	**$532**	**$0**	**$0**	**$1,620**	**$2,063**
Extensions	$120	$2	$0	$0	$0	$0	$2	$122
Delivered But Not Allocated	$0	$0	$0	$0	$0	$0	$0	$0
Advances	$0	($5)	$0	$0	$0	$0	($5)	($5)
Net Non-PTA STIP Program	**$564**	**$512**	**$572**	**$532**	**$0**	**$0**	**$1,616**	**$2,180**
Non-PTA Capacity for County Shares	**($14)**	**$148**	**$83**	**$123**	**$655**	**$650**	**$1,659**	**$1,645**
Cumulative	**($14)**	**$134**	**$217**	**$340**	**$995**	**$1,645**		

Transportation Enhancements (TE)	2013-14	2014-15	2015-16	2016-17	2017-18	2018-19	5-Year Total	6-Year Total
2014 STIP FE TE Capacity (Federal)	$0	$0	$0	$0	$0	$0	$0	$0
TE State Match (Estimated program totals)	$8	$0	$0	$0	$0	$0	$0	$8
Total 2014 STIP FE TE Capacity	**$8**	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**	**$8**
2012 STIP Program [1]	**$81**	**$95**	**$72**	**$93**	**$0**	**$0**	**$259**	**$340**
Extensions	$3	$1	$0	$0	$0	$0	$1	$4
Advances	($6)	($3)	($1)	($1)	$0	$0	($6)	($12)
Net TE	**$78**	**$92**	**$70**	**$91**	**$0**	**$0**	**$254**	**$332**
TE Capacity for County Shares	**($70)**	**($92)**	**($70)**	**($91)**	**$0**	**$0**	**($254)**	**($324)**
Cumulative	**($70)**	**($162)**	**($233)**	**($324)**	**($324)**	**($324)**		

Total Capacity	**($137)**	**($72)**	**($88)**	**($65)**	**$655**	**$650**	**$1,080**	**$942**

Notes:

General note: Numbers may not add due to rounding.

[1] 2012 STIP through June 2013

DRAFT 2014 Fund Estimate
County and Interregional Shares - UPDATED 6/10/13
Table 2. Calculation of New Programming Targets and Shares - Total Target
($1,000's)

Tab 14 Addition Revised

County	Net Carryover		2014 STIP Share through 2018-19			
	Unprogrammed Balance	Balance Advanced	Formula Distribution	Add Back Lapses 11-12/12/13	**Net Share (Total Target)**	Net Advance
Alameda	2,000	0	23,239	0	**25,239**	0
Alpine	1,255	0	686	0	**1,941**	0
Amador	350	0	1,574	0	**1,924**	0
Butte	12,488	0	4,604	0	**17,092**	0
Calaveras	0	0	1,859	0	**1,859**	0
Colusa	673	0	1,234	130	**2,037**	0
Contra Costa	5,000	0	15,854	0	**20,854**	0
Del Norte	0	(11,560)	1,155	0	**0**	(10,405)
El Dorado LTC	0	(9,478)	3,204	0	**0**	(6,274)
Fresno	0	(8,176)	17,550	1,430	**10,804**	0
Glenn	1,802	0	1,293	1	**3,096**	0
Humboldt	0	(5,655)	4,643	40	**0**	(972)
Imperial	6,741	0	8,244	0	**14,985**	0
Inyo	9,824	0	6,415	338	**16,577**	0
Kern	0	(2,711)	23,640	573	**21,502**	0
Kings	0	(17,941)	3,466	0	**0**	(14,475)
Lake	4,665	0	2,020	232	**6,917**	0
Lassen	652	0	2,953	900	**4,505**	0
Los Angeles	0	(17,809)	140,171	3,358	**125,720**	0
Madera	0	(14,078)	3,209	0	**0**	(10,869)
Marin	0	(39,820)	4,331	245	**0**	(35,244)
Mariposa	1,541	0	1,208	0	**2,749**	0
Mendocino	1,081	0	4,340	0	**5,421**	0
Merced	11,655	0	5,715	0	**17,370**	0
Modoc	1,373	0	1,575	232	**3,180**	0
Mono	8,439	0	4,774	165	**13,378**	0
Monterey	0	(6,844)	8,254	10,256	**11,666**	0
Napa	2,678	0	2,851	230	**5,759**	0
Nevada	0	(4,118)	2,451	0	**0**	(1,667)
Orange	0	(1,653)	43,368	8,429	**50,144**	0
Placer TPA	0	(45,878)	5,871	0	**0**	(40,007)
Plumas	2,925	0	1,761	0	**4,686**	0
Riverside	15,380	0	38,113	1,916	**55,409**	0
Sacramento	17,630	0	21,960	500	**40,090**	0
San Benito	0	(6,819)	1,517	0	**0**	(5,302)
San Bernardino	0	(5,969)	43,784	115	**37,930**	0
San Diego	0	(29,142)	49,255	0	**20,113**	0
San Francisco	0	(2,827)	11,745	0	**8,918**	0
San Joaquin	7,957	0	11,886	338	**20,181**	0
San Luis Obispo	0	(4,624)	8,827	642	**4,845**	0
San Mateo	3,728	0	12,125	1,000	**16,853**	0
Santa Barbara	0	(12,288)	9,873	1,430	**0**	(985)
Santa Clara	0	(19,262)	27,542	660	**8,940**	0
Santa Cruz	0	(611)	4,756	0	**4,145**	0
Shasta	7,628	0	5,066	0	**12,694**	0
Sierra	1,043	0	836	121	**2,000**	0
Siskiyou	2,470	0	3,468	307	**6,245**	0
Solano	1,256	0	7,169	0	**8,425**	0
Sonoma	0	(21,840)	8,930	1,204	**0**	(11,706)
Stanislaus	3,292	0	8,815	0	**12,107**	0
Sutter	1,327	0	2,027	0	**3,354**	0
Tahoe RPA	1,585	0	1,076	0	**2,661**	0
Tehama	2,422	0	2,592	479	**5,493**	0
Trinity	586	0	1,822	60	**2,468**	0
Tulare	0	(6,022)	10,885	250	**5,113**	0
Tuolumne	8,626	0	2,033	0	**10,659**	0
Ventura	5,099	0	14,695	1,500	**21,294**	0
Yolo	6,739	0	4,216	915	**11,870**	0
Yuba	3,004	0	1,550	100	**4,654**	0
Statewide Regional	164,914	(295,125)	670,075	38,096	**715,866**	(137,906)
Interregional	0	(13,246)	223,359	16,287	**226,400**	0
TOTAL	164,914	(308,371)	893,434	54,383	**942,266**	(137,906)

Statewide Flexible Capacity	1,644,992
Statewide PTA Capacity	(378,695)
Statewide TE Capacity	(324,031)
Total	942,266

Draft 2014 Fund Estimate
County and Interregional Shares - UPDATED 6/10/13
Table 3. Calculation of New Programming Targets and Shares - Maximum
($1,000's)

County	Net Carryover		2014 STIP Share through 2019-20			
	Unprogrammed Balance	Balance Advanced	Formula Distribution	Add Back Lapses 11-12/12-13	**Net Share (Maximum)**	Net Advance
Alameda	2,000	0	40,865	0	**42,865**	0
Alpine	1,255	0	1,206	0	**2,461**	0
Amador	350	0	2,768	0	**3,118**	0
Butte	12,488	0	8,095	0	**20,583**	0
Calaveras	0	0	3,269	0	**3,269**	0
Colusa	673	0	2,170	130	**2,973**	0
Contra Costa	5,000	0	27,880	0	**32,880**	0
Del Norte	0	(11,560)	2,031	0	**0**	(9,529)
El Dorado LTC	0	(9,478)	5,634	0	**0**	(3,844)
Fresno	0	(8,176)	30,861	1,430	**24,115**	0
Glenn	1,802	0	2,274	1	**4,077**	0
Humboldt	0	(5,655)	8,166	40	**2,551**	0
Imperial	6,741	0	14,496	0	**21,237**	0
Inyo	9,824	0	11,281	338	**21,443**	0
Kern	0	(2,711)	41,571	573	**39,433**	0
Kings	0	(17,941)	6,096	0	**0**	(11,845)
Lake	4,665	0	3,553	232	**8,450**	0
Lassen	652	0	5,192	900	**6,744**	0
Los Angeles	0	(17,809)	246,488	3,358	**232,037**	0
Madera	0	(14,078)	5,642	0	**0**	(8,436)
Marin	0	(39,820)	7,616	245	**0**	(31,959)
Mariposa	1,541	0	2,124	0	**3,665**	0
Mendocino	1,081	0	7,631	0	**8,712**	0
Merced	11,655	0	10,050	0	**21,705**	0
Modoc	1,373	0	2,769	232	**4,374**	0
Mono	8,439	0	8,395	165	**16,999**	0
Monterey	0	(6,844)	14,515	10,256	**17,927**	0
Napa	2,678	0	5,014	230	**7,922**	0
Nevada	0	(4,118)	4,310	0	**192**	0
Orange	0	(1,653)	76,263	8,429	**83,039**	0
Placer TPA	0	(45,878)	10,323	0	**0**	(35,555)
Plumas	2,925	0	3,096	0	**6,021**	0
Riverside	15,380	0	67,020	1,916	**84,316**	0
Sacramento	17,630	0	38,616	500	**56,746**	0
San Benito	0	(6,819)	2,667	0	**0**	(4,152)
San Bernardino	0	(5,969)	76,994	115	**71,140**	0
San Diego	0	(29,142)	86,614	0	**57,472**	0
San Francisco	0	(2,827)	20,654	0	**17,827**	0
San Joaquin	7,957	0	20,902	338	**29,197**	0
San Luis Obispo	0	(4,624)	15,522	642	**11,540**	0
San Mateo	3,728	0	21,322	1,000	**26,050**	0
Santa Barbara	0	(12,288)	17,362	1,430	**6,504**	0
Santa Clara	0	(19,262)	48,432	660	**29,830**	0
Santa Cruz	0	(611)	8,364	0	**7,753**	0
Shasta	7,628	0	8,909	0	**16,537**	0
Sierra	1,043	0	1,470	121	**2,634**	0
Siskiyou	2,470	0	6,098	307	**8,875**	0
Solano	1,256	0	12,607	0	**13,863**	0
Sonoma	0	(21,840)	15,703	1,204	**0**	(4,933)
Stanislaus	3,292	0	15,501	0	**18,793**	0
Sutter	1,327	0	3,564	0	**4,891**	0
Tahoe RPA	1,585	0	1,891	0	**3,476**	0
Tehama	2,422	0	4,558	479	**7,459**	0
Trinity	586	0	3,204	60	**3,850**	0
Tulare	0	(6,022)	19,142	250	**13,370**	0
Tuolumne	8,626	0	3,575	0	**12,201**	0
Ventura	5,099	0	25,841	1,500	**32,440**	0
Yolo	6,739	0	7,414	915	**15,068**	0
Yuba	3,004	0	2,725	100	**5,829**	0
Statewide Regional	164,914	(295,125)	1,178,315	38,096	**1,196,453**	(110,253)
Interregional	0	(13,246)	392,772	16,287	**395,813**	0
TOTAL	164,914	(308,371)	1,571,087	54,383	**1,592,266**	(110,253)

Statewide Flexible Capacity	2,294,992
Statewide PTA Capacity	(378,695)
Statewide TE Capacity	(324,031)
Total	1,592,266

DRAFT 2014 STIP FUND ESTIMATE

County and Interregional Shares - UPDATED 6/10/13

Table 4 - Planning, Programming and Monitoring (PPM) Limitations

($1,000's)

	Total			5% PPM Limitation
County	2012 STIP FY 2016/17	2014 STIP 16/17-18/19	Total 16/17-18/19	FY 2016/17-2018-19
Alameda	20,348	23,239	43,587	**2,179**
Alpine	602	686	1,288	**64**
Amador	1,383	1,574	2,957	**148**
Butte	4,031	4,604	8,635	**432**
Calaveras	1,623	1,859	3,482	**174**
Colusa	1,081	1,234	2,315	**116**
Contra Costa	13,881	15,854	29,735	**1,487**
Del Norte	1,011	1,155	2,166	**108**
El Dorado LTC	2,806	3,204	6,010	**301**
Fresno	15,366	17,550	32,916	**1,646**
Glenn	1,132	1,293	2,425	**121**
Humboldt	4,066	4,643	8,709	**435**
Imperial	7,218	8,244	15,462	**773**
Inyo	5,617	6,415	12,032	**602**
Kern	20,698	23,640	44,338	**2,217**
Kings	3,035	3,466	6,501	**325**
Lake	1,769	2,020	3,789	**189**
Lassen	2,585	2,953	5,538	**277**
Los Angeles	122,728	140,171	262,899	**13,145**
Madera	2,810	3,209	6,019	**301**
Marin	3,792	4,331	8,123	**406**
Mariposa	1,058	1,208	2,266	**113**
Mendocino	3,799	4,340	8,139	**407**
Merced	5,004	5,715	10,719	**536**
Modoc	1,379	1,575	2,954	**148**
Mono	4,180	4,774	8,954	**448**
Monterey	7,227	8,254	15,481	**774**
Napa	2,497	2,851	5,348	**267**
Nevada	2,146	2,451	4,597	**230**
Orange	37,971	43,368	81,339	**4,067**
Placer TPA	5,140	5,871	11,011	**551**
Plumas	1,542	1,761	3,303	**165**
Riverside	33,370	38,113	71,483	**3,574**
Sacramento	19,227	21,960	41,187	**2,059**
San Benito	1,328	1,517	2,845	**142**
San Bernardino	38,336	43,784	82,120	**4,106**
San Diego	43,126	49,255	92,381	**4,619**
San Francisco	10,283	11,745	22,028	**1,101**
San Joaquin	10,407	11,886	22,293	**1,115**
San Luis Obispo	7,729	8,827	16,556	**828**
San Mateo	10,617	12,125	22,742	**1,137**
Santa Barbara	8,644	9,873	18,517	**926**
Santa Clara	24,115	27,542	51,657	**2,583**
Santa Cruz	4,164	4,756	8,920	**446**
Shasta	4,436	5,066	9,502	**475**
Sierra	732	836	1,568	**78**
Siskiyou	3,036	3,468	6,504	**325**
Solano	6,277	7,169	13,446	**672**
Sonoma	7,819	8,930	16,749	**837**
Stanislaus	7,718	8,815	16,533	**827**
Sutter	1,775	2,027	3,802	**190**
Tahoe RPA	942	1,076	2,018	**101**
Tehama	2,269	2,592	4,861	**243**
Trinity	1,595	1,822	3,417	**171**
Tulare	9,531	10,885	20,416	**1,021**
Tuolumne	1,780	2,033	3,813	**191**
Ventura	12,867	14,695	27,562	**1,378**
Yolo	3,691	4,216	7,907	**395**
Yuba	1,357	1,550	2,907	**145**
Statewide	586,696	670,075	1,256,771	**62,839**

Note: Limitation amounts include amounts already programmed.