

## Draft Guidelines Specific to the 2018 STIP

### ■ Schedule:

- August 16-17 - Adopt Fund Estimate & Guidelines.
- September 15 – Department identifies State highway needs.
- October 13 – Department submits draft ITIP.
- October 19 and 24 – ITIP hearings North and South (subject to change within statutory requirements).
- December 15 - RTIP & ITIP submittal.
- March 21-22, 2018 – Adopt STIP.

## Draft Guidelines Specific to the 2018 STIP

- **Commission Priorities**

The Base (Minimum) for county share period ending in 2022-23 will be considered when selecting projects to program.

- Reprogramming 2016 projects.
- Project cost increases.
- Project or project components deleted in the 2016 STIP.
- New Projects.

## Draft Guidelines Specific to the 2018 STIP

- **Transit and Rail Projects**
  - Negative PTA Capacity.
  - Project currently programmed may need to be delivered with other STIP funds, if eligible.
  - New Projects – SHA and Federal funded.

## Draft Guidelines Specific to the 2018 STIP

- **Advance Project Development Element (APDE)**
  - Target shares for APDE are independent of regular shares.
  - Can only program Environmental and Plans, Specifications and Estimates components.
  - Projects may be proposed for programming any year within the 5-year STIP period.
  - APDE Shares will be tracked separately.
  - APDE shares will be treated as advance of regular future county or interregional shares.

## Highlights of Draft Amendments to the Permanent STIP Guidelines

- **Section 17 (Caltrans and Regional Consultation)**
  - Caltrans will advise regional agencies in advance any schedule and cost changes for Caltrans implemented projects funded from regular shares.
- **Section 19 (Measuring Performance & Cost Effectiveness)**
  - Regional level Performance Evaluation – Added Performance Measures related to rail and transit:
    - Reliability, accessibility and on-time performance
    - Farebox recovery ratio

## Highlights of Draft Amendments to the Permanent STIP Guidelines

- **Section 19 (Measuring Performance & Cost Effectiveness)**
  - Outputs / Outcomes
    - What are we getting for our investment?
    - Collection of Data.
    - Reporting requirements.

## Highlights of Draft Amendments to the Permanent STIP Guidelines

- **Section 25 and 34 (RIP & IIP Project Eligibility)**
  - Reversible lanes must be considered when proposing a capacity increasing project or a major street or highway lane realignment (SHC 100.15).
- **Section 49 (Basis for Cost Sharing)**
  - Projects delivered using an alternative delivery method (PPP) with operation and maintenance included, the operation and maintenance shall not be funded from the STIP.

## Highlights of Draft Amendments to the Permanent STIP Guidelines

- **Section 64 (Allocation of Funds)**
  - Identify projects using design-build or design-sequence procurement at the time of programming or as soon as possible prior to allocation.
  - For locally implemented projects, semi-annually report will be required of expenditures for all project development allocations.



## Highlights of Draft Amendments to the Permanent STIP Guidelines

- **Section 65 (Timely Use of Funds)**
  - Extension requests for Planning, Programming and Monitoring (PPM) will not be considered.
  - Clarify PPM has the year of allocation plus 2 yrs to spend the funds.
  - Extension requests must be received by Caltrans prior to funds expiring, except for Allocation time extensions.
  - Allocation Time extensions must be approved by the Commission by June 30<sup>th</sup> of the year the funds are programmed.

## Project Programming Request Form Updates

Caltrans Division of Programming

# 2018 STIP Guidelines



# Thank You!

Any questions, comments, ideas, please send to [teresa.favila@dot.ca.gov](mailto:teresa.favila@dot.ca.gov)